

# Immunotherapy in Pediatric Acute Lymphoblastic Leukemia

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## Abstract

Leukemia is the most common childhood malignancy and cause of pediatric cancer death. Significant advances in the cure rates of B-cell acute lymphoblastic leukemia (B-ALL) and T-cell acute lymphoblastic leukemia (T-ALL) have been achieved; however, patients with refractory or relapsed B-ALL or T-ALL continue to have poor outcomes. Immunotherapy is a revolutionary treatment aimed to improve survival and reduce the toxicity of chemotherapy by harnessing the patient's own immune system to target cancer cells. Several immunotherapies have been developed including monoclonal antibodies, antibody drug conjugates, Bispecific T-cell engagers (BiTEs), and chimeric antigen receptor T-cell (CAR-T) therapy. Immunotherapy has been shown to have efficacy in relapsed acute leukemia; however, antigen escape relapse remains a challenge and the duration of effect is unknown. Nevertheless, immunotherapy holds the potential to significantly improve outcomes in relapsed pediatric acute B-ALL and T-ALL and is actively being studied in upfront therapy.

**Keywords:** B-ALL, T-ALL, Immunotherapy, Monoclonal Antibodies, Antibody-drug Conjugates Bispecific T-Cell Engager (BiTE), Chimeric Antigen Receptor (CAR) T-Cells

**Abbreviations:** ASMT: American Society for Transplantation and Cellular Therapy; B-ALL: B-cell Acute Lymphoblastic Leukemia; BiTE: Bispecific T-cell Engagers; CAR-T: Chimeric Antigen Receptor T-cell; COG: Children's Oncology Group; CR: Complete Remission; CRh: Complete Remission with partial hematologic recovery; CRS: Cytokine Release Syndrome; FDA: U.S. Food and Drug Administration; HSCT: Hematopoietic Stem Cell Transplant; InO: Inotuzumab Ozogamicin; NK: Natural Killer; MRD: Minimal Residual Disease; OS: Overall Survival; OR: Odds Ratio; PFS: Progression-free Survival; scFv: Single-chain variable fragment; SOC: Standard of Care; SOS: Sinusoidal Obstructive Syndrome; T-ALL: T-cell acute lymphoblastic leukemia; TCR: T-cell Receptor; VHR: Very High-risk

## Introduction

Leukemia is the most common childhood malignancy and is the most common cause of cancer death before the age of 20 [1]. Pediatric leukemia can be subdivided into acute versus chronic and lymphoid versus myeloid leukemia. Acute lymphoid leukemia (ALL) can be further divided into B-cell precursor ALL (B-ALL) and T-cell ALL (T-ALL). The focus of this paper will be pediatric B-ALL and T-ALL.

## B-ALL

Approximately 85% of ALL cases are B-ALL [2]. Cure rates for B-ALL significantly rose over the past five decades from 10% to 90% [1-3] due to multi-agent chemotherapy regimens, CNS prophylaxis and better risk stratification [3]. Despite these successes, about 2% of patients are refractory to chemotherapy and another 10% to 15% of patients will relapse [4]. Treatment for these patients remains a therapeutic challenge. Event free survival for

patients with relapsed or refractory ranges from 13% to 40% [4-7]. Attempts to intensify chemotherapy in high risk patients resulted in excessive toxicity [8,9].

### T-ALL

T-ALL accounts for approximately 15% of pediatric ALL cases [1,10]; and historically these patients have inferior outcomes to patients with B-ALL with event-free and overall survival around 70% and 80% respectively [11-13]. Survival has improved with intensification of therapy and T-cell focused regimens, such as the addition of nelarabine to treatment paradigms [14]. However, survival after relapse is about 30% due to a lack of effective salvage therapies [15].

### Immunotherapy

Immunotherapy is a revolutionary treatment aimed to improve survival and reduce the toxicity of chemotherapy by harnessing the patient's own immune system to target cancer cells. Several different approaches have been developed. Antibody therapy utilizes antigens present on the surface of leukemia cell to aid in the immune system's attack of the cancer cell. Therapies include monoclonal antibodies, antibody drug conjugates and Bispecific T-cell engagers (BiTES). Adaptive therapies manipulate patient's cytolytic immune cells to recognize tumor cells and elicit an anti-tumor response. These therapies include chimeric antigen receptor T-cell (CAR-T) therapy. This review will focus on immunotherapeutic options approved and under investigation for pediatric ALL. Common targets are highlighted in Tables 1 and 2.

Target	Drug
CD10	CD10 CAR-T
CD19	Antibody-drug conjugates <ul style="list-style-type: none"> <li>SAR3419</li> <li>SGN-CD19A</li> <li>SGN-CD19B</li> </ul> BiTE <ul style="list-style-type: none"> <li>Blinatumomab</li> </ul> CAR-T <ul style="list-style-type: none"> <li>CD19 CAR-T</li> <li>CARCIK-CD19</li> </ul>
CD20	Antibody <ul style="list-style-type: none"> <li>Rituximab</li> <li>Ofatumumab</li> </ul> BiTE: Preclinical                     CAR-T <ul style="list-style-type: none"> <li>CD20 CAR-T</li> <li>CD20/CD19 CAR-T</li> </ul>

CD22	Antibody <ul style="list-style-type: none"> <li>Epratuzumab</li> <li>Moxetumomab pasudotox</li> </ul> Antibody-drug conjugates <ul style="list-style-type: none"> <li>Inotuzumab Ozogamicin</li> </ul> CAR-T <ul style="list-style-type: none"> <li>CD22 CAR-T</li> <li>CD19/CD22 CAR-T</li> </ul>
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**Table 1:** B-ALL Targets.

Target	Drug
CD1a	CAR-T (Preclinical)
CD3	CD3 NK CART-T (Preclinical)
CD4	CD4 CAR-T
CD5	CAR-T
CD7	CAR-T UCART7 (Preclinical) TruUcar GCo27 (Preclinical)
CD38	Daratumab Isatuximab Mogalizumab
CD52	Alemtuzumab
CD194	Mogamulizumab CD194/30 CAR-T
Interleukin-2 receptor alpha	Basiliximab (Preclinical)
Interleukin-7 receptor alpha	Preclinical
TALLA-1	Preclinical
Hedgehog interacting proteins	Preclinical
Human telomerase reverse transcriptase	Preclinical

**Table 2:** T-ALL targets.

### Monoclonal Antibodies/Antibody-drug Conjugates

Antibody therapy is engineered to attack specific antigens on tumor cells. Monoclonal antibodies stimulate antibody-dependent cytotoxicity; whereas, drug-antibody conjugates deliver a cytotoxic drug to the tumor cell when it binds and is phagocytosed by the target cell. In pediatric

leukemia, both monoclonal antibody and antibody-drug conjugates have shown promise.

**Inotuzumab ozogamicin (InO)**

**Mechanism of action:** CD22 is expressed on 80% to 90% of B-ALL cells. Inotuzumab ozogamicin (InO) is a humanized anti-CD22 monoclonal antibody conjugated to the cytotoxic drug, calicheamicin [16]. Calicheamicin is cleaved and binds to minor DNA grooves causing double-stranded DNA breaks and apoptosis of the leukemia cell [16]. Clinical trials of InO in B-ALL are highlighted in Table 3.

**Adult experience with InO:** U.S. Food and Drug Administration (FDA) approval of InO for relapsed/refractory CD22 positive ALL was based on the INOVATE trial. The study showed a superiority of InO compared to standard of care (SOC) chemotherapy with improved complete remission (CR)/remission with partial hematologic recovery (CRh) rates, 73.8% vs. 35% and progression-free survival (PFS) 5.0 months vs. 1.7 months. More patients proceed to transplant in the InO arm (48% vs. 22%,  $p < 0.0001$ ) [17]. At the two-year follow-up, overall survival (OS) rates were superior with InO 22.8% and 10.0% [17].

**Pediatric experience with InO:** Of five pediatric patients with relapsed CD22 positive B-ALL treated with

InO as part of an adult phase 2 trial, three had a CR/CRh [18]. A retrospective analysis of compassionate use of InO in fifty-one pediatric patients with relapsed/refractory B-ALL showed a 12-month EFS and OS of 23% and 36% respectively [19]. Twenty-one patients underwent a hematopoietic stem cell transplant (HSCT) after achieving CR [19]. In the Children’s Oncology Group (COG) trial AALL1621 (NCT02981628) of InO in heavily pre-treated relapsed/refractory, CD22 positive B-ALL patients, 58.3% had a CR/CRh, with 65.4% of those having a minimal residual disease (MRD) response [20].

**Ongoing Trials with InO in pediatrics:** There are several ongoing pediatric trials investigating the timing and indications for InO. InO is being studied in upfront therapy, relapsed/refractory MRD positive ALL with chemotherapy, and as consolidation post-transplant (Table 4).

**Sinusoidal obstructive syndrome (SOS):** Sinusoidal obstructive syndrome (SOS) was seen more commonly in patients who are treated with InO than salvage chemotherapy, a serious concern in patients whom subsequent transplant is a consideration. In INOVATE trial, rates of SOS were 14.0% (5% fatal) in the InO arm vs. 2.1% in the SOC chemotherapy arm [17]. Risk factors for SOS included conditioning with dual alkylators, hyperbilirubinemia before HSCT, and prior HSCT (OR 6.02;  $p = 0.032$ ) [17]. In the pediatric experience, 4 of the 13

Patients	Phase	N	Dosing	Response	SOS	Group/study
Adult CD22+ R/R ALL Ph+/-	Phase I/II Multicenter Open Label	N=72 Phase 1 n=24 Expansion n=13 Phase II n=35	1.2mg/m2/cycle (n=3)	CR/CRh: 49(68%)	N=4	[78] NCT01363297
			1.6mg/m2/cycle (n=12)	MRD negative: 41(84%)		
			1.8mg/m2/cycle (n=9)	Median DOR: 4.6 mo (95%CI; 3.8-6.6)		
			Days 1, 8, 15 over 28 day cycle Expansion (n=13)	Median PFS: 3.9 mo (95%CI; 2.9-5.4)		
			Recommended does 1.8mg/m2/cycle	Median OS: 7.4 mo (95%CI; 5.7-9.2)		

<p><b>Adult and pediatric CD22+ R/R B-ALL</b></p>	<p>Phase II Single center</p>	<p>N=49</p>	<p>1.8mg/m<sup>2</sup>/cycle every 3-4 weeks</p>	<p>CR/CRh: 28 (57%) MRD negative: 19 (39%) Median OS: 5.1 mo (95%CI; 3.8-6.4)</p>	<p>N=22</p>	<p>[79] NCT01134575</p>
<p><b>Adult R/R CD22+, Ph+/- B-ALL</b></p>	<p>Phase III Randomized (SOC chemotherapy vs. Inotuzumab ozogamicin)</p>	<p>N=326 Ino n=164 SOC n=162</p>	<p>1.8mg/m<sup>2</sup>/cycle Days 1, 8, 21</p>	<p>CR/CRh: (73.8% vs. 35%), p&lt;0.001 MRD negative: 78.4% (95% CI; 68.4-86.5] vs. 28.1% (95% CI; 13.7-46.7), p&lt;0.001 DOR: 4.6 mo (95% CI; 3.9-5.4) vs. 3.1 mo (95% CI; 1.4-4.9, p = 0.03 Median PFS: 5.0 mo (95% CI; 3.9-5.8) vs. 1.7 mo (95% CI; 0.34-0.60); HR, 0.45 (97.5% CI; 0.34-0.61), p&lt;0.001 Median OS: 7.7 mo vs. 6.2 mo (95%CI, 4.7-8.3) 2-year OS: 22.8% vs. 10.0% (HR 0.75; 97.5CI, 0.57-0.99), p=0.0105</p>	<p>Patients who proceed to transplant and developed SOS InO-18/79 (22.8%) SOC-3/35 (8.6%)</p>	<p>[17] NCT01564784 INO-VATE</p>

<p><b>Pediatric R/R CD22+ B-ALL</b></p>	<p>Phase II</p>	<p>N=5</p>	<p>1.3mg/m<sup>2</sup> every 3 weeks (n=3) Increased to 1.8mg/m<sup>2</sup> every 3 weeks (n=1 of the 3) Then weekly 0.8mg/m<sup>2</sup> on Day 1 followed by 0.5mg/m<sup>2</sup> on Days 8 &amp; 15</p>	<p>CR: 1 (20%) CRh: 2 (40%) No response: 2 (40%)</p>		<p>[18]</p>
<p><b>Pediatric R/R. CD22+ ALL compassionate use</b></p>	<p>Retrospective analysis</p>	<p>N=51 3 not evaluable for response</p>	<p>Cycle one: three doses: 0.8mg/m<sup>2</sup> on week 1 followed by 0.5mg/m<sup>2</sup> on weeks 2 and 3  One patient with MRD-only disease received 0.5mg/m<sup>2</sup>/dose for all three doses.  In second and subsequent cycles, assuming CR/CRh during cycle 1, patients received 0.5mg/m<sup>2</sup>/dose on days 1, 8, and 15.</p>	<p>CR/CRh: 67% CR: 15 (39%) CRh: 13 (25%) MRD negative: 20 (71%) No response: 8 (16%) 12-month EFS 23.4±7.5% 12-month OS 36.3±9.3%</p>		<p>[19]</p>
<p><b>Pediatric and AYA CD22+ B ALL in 2<sup>nd</sup> relapse, refractory to two prior regimens, relapse after HSCT, or 1<sup>st</sup> relapse with DS</b></p>	<p>Phase II Single arm</p>	<p>N=48</p>		<p>CR/CRh: 28 (58.3%) (95%CI, 43.2-72.4) CR: 19 (40%) CRh: 9 (19%) MRD negative: 17 (65%) Progressive disease: 8 (16.7%)</p>	<p>4 (30.7%)</p>	<p>[20] NCT02981628 AALL1621</p>

**Table 3:** Inotuzumab ozogamicin studies.

Study	Aim	Design	Age	Status
<b>NCT03959085 AALL1732</b>	InO added to post-induction chemotherapy in HR B-ALL	Phase III Randomized Multicenter	1 y.o. to 24 y.o.	Recruiting
<b>NCT03150693</b>	InO with frontline chemotherapy with young adults with newly diagnosed CD22 + B-ALL	Phase III Randomized Multicenter	18 y.o. to 39 y.o	Recruiting
<b>NCT04307576</b>	Addition of InO in chemotherapy for newly diagnosed B-ALL (IR/HR)	Phase III Randomized	1 y.o. to 45 y.o.	Not yet recruiting
<b>NCT03739814</b>	InO and Blinatumomab in newly diagnosed, or R/R CD22+ B-ALL	Phase II Multicenter	≥ 18 y.o.	Suspended-Request for amendment
<b>NCT03962465</b>	InO with augmented BFM Re-induction for AYA patients with R/R B-ALL	Phase I Single Center-U. Virginia	18 y.o. to 55 y.o.	Recruiting
<b>NCT03991884</b>	InO with chemotherapy in R/R CD22+ B-ALL (Ph+/-)	Phase I Single Center- U. Washington	≥ 18 y.o.	Recruiting
<b>NCT01925131 S1312</b>	InO with chemotherapy in R/R CD22+ B-ALL	Phase I	≥ 18 y.o.	Recruiting
<b>NCT03677596</b>	Study of a lower dose of InO in R/R, transplant eligible B-ALL at risk of liver disease and plan for HSCT	Phase IV Randomized Multicenter	18 y.o. to 75 y.o.	Recruiting
<b>NCT03851081</b>	InO and Vincristine sulfate liposome in R/R CD22+ B-ALL	Phase Ib/II Single Center- Roswell Park Cancer Institute	≥ 18 y.o.	Not yet recruiting
<b>NCT02311998</b>	Bosutinib with InO in R/R Ph+ B-ALL	Phase I/II Single Center-MD Anderson	≥ 18 y.o.	
<b>NCT03856216</b>	InO in CD22+ R/R B-ALL in patients who are not eligible for a myeloablative HSCT but eligible for a RIC HCST	Phase II Single Center-MD Anderson	18 y.o. to 70 y.o.	Recruiting
<b>NCT03610438 ALL2418</b>	InO in B-ALL who have MRD+ disease after at least 3 months of any therapy Ph+/-	Phase IIa Exploratory Multicenter	≥ 18 y.o.	Not yet recruiting
<b>NCT03913559</b>	InO for children with MRD+, CD22+ ALL with <5% blasts in BM	Phase II Multicenter	Up to 21 y.o.	Recruiting

<b>NCT03441061</b>	InO in MRD+ B-ALL	Phase II Single Center- MD Anderson	≥ 18 y.o.	Recruiting
<b>NCT03104491</b>	InO post-transplant in ALL who have a high risk of relapse	Phase I/II Multicenter	16 y.o to 75 y.o.	Recruiting
<b>NCT03564678</b>	Levocarnitine and Vitamin B Complex in treating InO and PEG-Asparaginase hyperbilirubinemia in ALL	Phase II Single Center-MD Anderson	12 y.o and older	Recruiting

\*Table is not comprehensive, please see clinicaltrials.gov for additional ongoing trials

**Table 4:** Ongoing trials with Inotuzumab ozogamicin in pediatric and AYA patients.

patients (30.7%) who went on to HSCT developed grade 3 SOS in AALL1621 study [20] at 11 of the 21 (52%) patients in compassionate use study [19]. Strategies to prevent SOS include avoiding dual alkylating agents and/or thiotepea and hepatotoxic agents, prophylactic ursodiol, proceeding to HCT after two cycles of InO, and close monitoring for SOS [21]. Ongoing trials include Levocarnitine and Vitamin B to reduce hyperbilirubinemia with InO treatment (NCT03564678), lowering the dose of InO pre-transplant (NCT03677596) and reduced intensity transplant (NCT03856216).

#### Daratumumab

**Mechanism of action:** CD38 is a type II transmembrane glycoprotein on the surface of thymocytes, activated T-cells and terminally differentiated B cells, with low level expression on other normal lymphoid and myeloid cells [22]. CD38 expression has been seen on T-ALL blasts and remains stable after treatment with chemotherapy [22]. Daratumumab is a human monoclonal antibody directed against CD38 [22]. It is FDA approved for multiple myeloma both as monotherapy and in combination [23,24]. Preclinical data has shown efficacy of Daratumumab in T-ALL models [22,25], and case series have shown efficacy as salvage therapy in relapsed T-ALL [26,27]. There are ongoing clinical trials of Daratumumab in pediatric T-ALL and B-ALL in combination with cytotoxic chemotherapy (NCT03384654). Other monoclonal antibodies being tested in T-ALL are listed in Table 2.

#### BiTEs

Bispecific T-cell-Engaging (BiTE) antibodies are antibody-based molecules that bind to distinct surface markers on T-cells and tumor cells to form the immunological synapse [28,29]. BiTEs bind the invariant signaling component of the T-cell receptor (TCR), CD3, and a surface target antigen on tumor cells, resulting in T-cell activation, expansion and

tumor cell lysis [28,29]. BiTEs are independent of T-cell receptor specificity and do not require MHC presentation of the antigen; thus, bypassing T-cell regulation [29]. Unlike CARTs, BiTEs do not require manufacturing and infusion of T-cells [29].

#### Blinatumomab

**Mechanism of action:** CD19 is expressed on approximately 90% of B-ALL cells [30]. Blinatumomab is a BiTE that binds to CD19 on leukemic cells and CD3-subunit of the TCR on T-cells [29]. Clinical trials of Blinatumomab for B-ALL are highlighted in Table 5.

**Role in relapsed/refractory B-ALL:** In 2014, the FDA granted accelerated approval of blinatumomab for adult Philadelphia chromosome negative (Ph-) relapsed/refractory B-ALL based on a single-arm study of 189 adults that showed efficacy and manageable toxicity [31]. Eighty-one patients (43%) had a CR/CRh within two cycles of blinatumomab [31]. Median overall survival was 6.1 months [31]. This was superior to historical controls who received SOC, salvage chemotherapy [31]. Efficacy was confirmed in the TOWER trial, a multicentered, randomized, phase III trial comparing blinatumomab to chemotherapy in adult relapsed/refractory Ph- ALL [32]. CR was achieved in 91 patients (34%) in the blinatumomab arm compared to 21 patients (16%) in the SOC arm. The median overall survival was significantly longer for the blinatumomab arm (7.7 months versus (4.0 months) in the SOC arm [32].

**Role in Philadelphia chromosome-positive (Ph+) ALL:** The approval of blinatumomab was extend to Ph+ relapsed/refractory B-ALL based on the ALCANTARA trial showing a 36% CR/CRh, with 88% complete MRD response in patients with relapsed/refractory Ph+ ALL, previously treated with TKI treatment [33]. Blinatumomab as consolidation to treatment with TKI has also been studied in a multicenter phase II trial of Ph+ ALL, patients

were treated with dasatinib, followed by post-induction consolidation with blinatumomab. At the end of two cycles of blinatumomab 19/35 (54%) had a molecular response that further increased after subsequent cycles [34]. Twelve-month OS and DFS are 96.2% and 91.6% respectively [34]. There are several ongoing studies examining the efficacy of TKIs with blinatumomab (Table 6).

**Role in MRD positive disease:** In 2018 the FDA granted approval for blinatumomab for the treatment of adults and children with B-ALL in a morphological first or second CR with MRD [35]. Eighty-eight of 113 patients (78%) achieved a complete MRD response after one cycle

of blinatumomab [35]. Patients who achieved a complete MRD response had a prolonged OS (38.9 vs 12.5 months;  $p=0.002$ ) and RFS (23.6 vs 5.7 months;  $p=0.002$ ) [35].

**Role in first vs. later relapse:** Blinatumomab appears to be a more effective salvage therapy in first versus second or later relapse. In the TOWER study, blinatumomab's effect on overall survival was greater for first salvage therapy (HR 0.59;  $p=0.016$ ) than second or greater salvage therapy (HR 0.72;  $p=0.055$ ) [36]. Similarly, in the BLAST MRD trial, patients who had previously relapsed had inferior RFS and OS compared with those treated in first remission (HR 2.02 for CR2 vs CR1 relapse and

Patients	Phase	N	Dosing	Response	Group/study
<b>Adults with Ph-R/R B- ALL</b>	Multicenter, single -arm, open-label, phase II,	189 (185- received blina)	Continuous infusion over 4 wks of a 6 wk cycle  Two cycles for induction and 3 for consolidation  Cycle 1 initial dose 9µ/day for 7 days then 28µ/day for the remaining 3 wks.  Subsequent cycles 28µ/day	CR or CRh 81 (43%) (95%CI; 36-50)  CR 63 (33%) CRh 18 (10%)  MRD negative: 60 (82%)  Median RFS (MRD responders vs. non-responders) 6.9 mo (95% CI, 5.5-10.1) vs 2.3 (95% CI 1.2-NE)  Median OS: (MRD responders vs. non-responders) 11.5 mo (95% CI, 8.5-NE) vs. 6.7 mo (95% CI 2.0-NE)	[31] MT103-211 (NCT01466179)
<b>Ph- R/R ALL Blinatumomab vs standard of care (SOC) chemotherapy</b> -	Phase III, randomized, open-label, multi-center trial comparing Blinatumomab with conventional chemotherapy (2:1 ratio)	405 pts -271 in the blina arm -134 in the SOC  Intent-to treat	Blina 9µ/day days 1-7 and 28 µ/day days 8-28 (induction)  Cycles 2-5 days 1-28 in a 42 day cycles (consolidation)  Cycles 6-9 in 84 day cycles (maintenance)	CR : 91 (34%) (95%CI, 28-40) vs 21 (16%) (95%CI, 10-23; $p<0.001$ )  CRh: 24 (44%) vs. 6 (25%) ( $p<0.001$ )  MRD negative: 76% vs. 48% (95%CI, 9-47)	[32, 36] TOWER (NCT02013167)



<p><b>Ph- or + R/R Pediatric</b> <b>&lt;18 (2 to 17)</b> <b>&gt;25% BM blasts</b></p>	<p>Phase I/II open label, multicenter</p>	<p>40 pts- phase I 44 phase II</p> <p>70 recommended dosage</p> <p>phase I (n = 26) or phase II (n = 44)</p>	<p>Phase I: dosages of 5, 15, and 30 <math>\mu/m^2/d</math> and a stepwise dosage of 15/30 <math>\mu g/m^2/d</math> (15 <math>\mu/m^2/d</math> for the first 7 days and 30 <math>\mu/m^2/d</math> thereafter).</p> <p>Recommended dosage of 5/15 <math>\mu/m^2/d</math></p>	<p>Of the 70 pts that received the recommended dosage:</p> <p>CR: 27 (39%) (95% CI, 27-51%)</p> <p>MRD negative: 14/27 (52%) (95% CI, 32-71)</p> <p>Median RFS: 4.4 mo (95% CI, 2.3-7.6) (for patients who achieved CR_</p> <p>Median OS: 7.5 mo (95%CI, 4.0-11.8)</p> <p>6 mo estimated EFS (1st relapse): 40.8% (95%CI, 30.1-50.) vs.</p> <p>25.9% (95%CI; 15.3-37.8)</p> <p>HR 0.7 (95% CI, 0.47-1.03; p=0.11)</p> <p>6 mo estimated EFS (2nd or later relapse): 24.0% (95% CI, 17.4-31.3%); 1.6% (95% CI, 0.1-7.5%): HR 0.49 (95% CI, 0.29-0.57; p&lt;0.001)</p>	<p>[37]</p> <p>MT103-205 (NCT01471782)</p> <p>AALL1121</p>
<p><b>R/R Ph+ B ALL, previously treated with at least one 2nd generation TKI or intolerant to 2nd generation TKI and refractory to imatinib</b></p> <p><b>&gt;5% bone marrow blasts</b></p>	<p>Phase II, multicenter, single arm trial of blina</p>	<p>45 patients</p>	<p>9 <math>\mu/day</math> days 1-7 and 28 <math>\mu/day</math> days 8-28 for cycle 1.</p> <p>28 <math>\mu/day</math> days 1-28 subsequent cycles</p> <p>IF CR achieved could receive up to 3 cycles of consolidation unless a HSCT was scheduled</p>	<p>CR/CRh; 16 (36%) (95% CI, 22- 51)</p> <p>CR: 14 (31%) (95% CI, 18-47)</p> <p>CRh: 1 (4%) (95% CI, 1-15)</p> <p>MRD-negative: 14 (88%) (95% CI, 62-98)</p> <p>Median OS: 7.1 mo (95% CI, 5.6-NE)</p> <p>Median RFS: 6.7 mo (95% CI, 4.4-NE)</p>	<p>[33]</p> <p>ALCANTARA (NCT02000427) (Martinelli, JCO, 2017)</p>

<p><b>Adult R/R B- ALL in first or later hematologic CR but MRD positive</b></p>	<p>Multicenter, open label, single arm trial</p>	<p>116 113 evaluable patients Excluded no central MRD assay results or a test sensitivity that did not reach 10<sup>-4</sup></p>	<p>15 μ/m<sup>2</sup>/day for 28 days followed by 2-2wk tx free period Up to 4 cycles</p>	<p>CR:48/110 (remained in CR) MRD negative: 88/113 (78%) (95% CI, 69-85) Median OS: 36.5mo (95% CI, 19.1-NE) Median RFS (MRD responders vs nonresponders): (23.6 vs 5.7 months; p=0.002) Median OS (MRD responders vs nonresponders): (38.9 vs 12.5 months; p=0.002)</p>	<p>[35] BLAST MT103-203 NCT01207388</p>
<p><b>Pediatric and AYA R/R B-ALL after re-induction chemotherapy</b></p>	<p>Multicenter, randomized phase III trial</p>	<p>208 HR/IR patients were randomized Chemotherapy arm- Blocks 2 and 3 of UKALLR3 Blinatumomab</p>	<p>Two cycles at 15 μ/m<sup>2</sup>/day for 28 day</p>	<p>2-year DFS: 59.3 ± 5.4% vs. 41.0 ± 6.2% (p=0.05) 2-year OS: 79.4 ± 4.5% vs. 59.2 ± 6.0% (p=0.005)</p>	<p>[38] NCT02101853 AALL1331</p>
<p><b>Pts with B-ALL 0 to 21 y.o who were transplanted with CR but MRD +</b></p>	<p>Retrospective analysis, multicenter</p>	<p>N=15 10/15 CR1 with EOC+</p>	<p>Singe 12 day course 15μ/m<sup>2</sup>/d 2 had course shortened to go to HCT (18 and 20 days of blina) 1 received 2 cycles of blina</p>	<p>MRD negative: 14/15 (93%) 1-yr post HCT relapse incidence 27.8% 1-yr OS: 93.2%</p>	<p>[80]</p>

**Table 5:** Blinatumomab studies.

HR 3.34 for CR3 vs. CR1 relapse,  $-p=0.001$ ), suggesting the importance of MRD clearance early in the treatment course [35].

**Role in pediatrics:** Efficacy and safety of blinatumomab was shown in the pediatric population in the Study MT103-205 a phase I/II study, of the 70 patients. Twenty-seven (39%) achieved a CR with 14 (52%) of the responders having a completed MRD response [37]. Duration of response was 4.4 months [37]. There are several pediatric trials studying the role of blinatumomab in relapsed/refractory B-ALL and as consolidation for transplant (Tables 6 and 7). The COG trial AALL1331 (NCT02101853) is a phase III randomized trial for relapsed B-ALL testing blinatumomab as post-reinduction consolidation, with high risk (HR) and intermediate risk (IR) patients proceeding to HSCT, and low risk (LR) patients receiving maintenance chemotherapy. The HR/IR randomization was terminated early due to evidence of superiority and decrease toxicity of the blinatumomab arm [38]. In these groups blinatumomab arm had an improved 2-year DFS (59.3% vs. 41.0%  $p=0.05$ ), 2-year OS (79.4% vs. 59.2%  $p=0.005$ ) and MRD clearance (21% vs. 79%  $p<0.0001$ ) with fewer and less severe toxicities compared to SOC chemotherapy [38]. Data for the low risk (LR) randomization is pending. There are ongoing studies investigating the role of blinatumomab in upfront therapy including the COG trial AALL1731 (NCT03914625) that is studying the addition of blinatumomab to standard

chemotherapy in patients with NCI SR B-ALL at high risk for relapse. Blinatumomab is also being studied in HR/IR newly diagnosed B-ALL in the European Studies AIEOP-BFM ALL 2017 (NCT03643276) and PETHEMA-BLIN-01 (NCT03523429). Lastly, blinatumomab is also being studied as maintenance after allogeneic HSCT (NCT02807883 & NCT03114865) (Table 6). Combining blinatumomab with other immunotherapies is also being investigated. There is an ongoing adult trial combining treatment with inotuzumab ozogamicin with mini-HCVD with or without blinatumomab in previously untreated acute lymphoblastic leukemia, (NCT01371630). In AYA patients, blinatumomab and inotuzumab ozogamicin are being studied in newly diagnosed and relapsed/refractory CD22+ B-ALL (NCT03739814). The ability of checkpoint inhibitors to further enhance the efficacy of blinatumomab is also actively being studied (NCT03605589, NCT03512405, NCT03160079, NCT02879695).

**Biomarkers to predict response:** Predictive biomarkers of response to blinatumomab are emerging. Patients who have a lower baseline disease burden [31] and day 15 MRD have a better response [39]. In addition, superior response was correlated with greater T-cell expansion of effector memory T-cells [40] and a higher percentage of regulatory T-cells [41]. Identifying additional biomarkers to determine response is actively being studied.

Study	Aim	Design	Age	Status
NCT02101853 AALL1331	Blinatumomab compared to SOC chemotherapy in pediatric and AYA patients as a bridge to transplant in relapsed ALL after re-induction with block 1 of UKALLR3/mitoxantrone arm	Phase III trial Multicenter Randomized	1 y.o. to 30 y.o.	Active, not recruiting HR/IR arm closed early due to trend to superiority
NCT02393859	Blinatumomab vs. SOC in pediatric patient with Ph-, HR, first relapsed B-ALL	Phase III Multicenter Randomized	>28 days to <18 y.o.	Active, not recruiting
NCT03914625 AALL1731	Blinatumomab in combination with chemotherapy in newly diagnosed SR B-ALL Role of immunotherapy in patients with Down Syndrome and B-ALL	Phase III Randomized Multicenter	1 y.o. to 21 y.o.	Recruiting

<b>NCT03117751</b> <b>St. Jude Total Therapy XVII</b>	Blinatumomab for Newly diagnosed SR ALL  Blinatumomab for patients with HR ALL (and MRD of 0.01 to 1% at the end of induction)	Phase II/III Randomized Multicenter	1 y.o. to 18 y.o.	Recruiting
<b>NCT03643276</b> <b>AIEOP-BFM ALL 2017</b>	Incorporating Blinatumomab with standard chemotherapy regimens in newly diagnosed HR/IR B-ALL	Phase III Randomized Multicenter[ed]	<18 y.o.	Recruiting
<b>NCT02877303</b>	Blinatumomab and chemotherapy (Hyper-CVAD) in newly diagnosed B-ALL	Phase II Single center-MD Anderson	14 y.o and older	Recruiting
<b>NCT03367299</b>	Chemotherapy and blinatumomab in newly diagnosed Ph- ALL	Phase II Multicenter	18 y.o. to 65 y.o	Recruiting
<b>NCT03541083</b> <b>HOVON146ALL</b>	Blinatumomab in the prephase and consolidation in newly diagnoses B-ALL	Phase II Multicenter	18 y.o. to 70 y.o.	Recruiting
<b>NCT02807883</b>	Blinatumomab maintenance following allo HSCT in Ph+ BALL	Phase II Single center-MD Anderson	1-70 y.o.	Recruiting
<b>NCT03114865</b>	Blinatumomab in B-ALL post allo HSCT as remission maintenance	Phase I Single Center- SKCC	≥18 y.o.	Recruiting
<b>NCT04044560</b> <b>(OZM-097)</b>	Blinatumomab for MRD in Pre-B ALL following stem cell transplant	Phase II Single arm Open label Multicenter	1 y.o and older	Active, not yet recruiting
<b>NCT03982992</b> <b>DLI-TARGET</b>	Allogeneic donor lymphocyte infusions combined with Blinatumomab in B-ALL who have mixed chimerism (MC) or are MRD after allo HSCT and are refractory to at least one MRD-or MC targeted therapy (i.e blinatumomab, DLI, TKI, etc)	Phase II Single center- Klinikum der Universität München	≥18 y.o.	Recruiting
<b>NCT02790515</b> <b>NCT03849651</b>	Blinatumomab in naïve T-cell depleted haploidentical donor HCT for R/R ALL	Phase II Single center-St. Jude	Up to 21 y.o.	Recruiting
<b>NCT02879695</b>	Blinatumomab and Nivolumab with or without ipilimumab in patients with poor risk relapsed or refractory CD19+ precursor B-ALL	Phase I Multicenter	16 y.o. and older	Recruiting

<b>NCT03605589</b>	Pembrolizumab Blinatumomab in pediatric and AYA R/R ALL	Phase I Pilot Single Center- Cincinnati Children's Hospital	1 y.o. to 40 y.o.	Recruiting
<b>NCT03512405</b>	Pembrolizumab and blinatumomab in R/R ALL	Phase I/II Single center-City of Hope Medical Center	≥18 y.o.	Recruiting
<b>NCT03160079</b>	Pembrolizumab and Blinatumomab in R/R B-ALL with high marrow lymphoblasts (>50% blasts)	Phase i/II Multicenter	≥18 y.o.	Recruiting
<b>NCT02744768</b>	Dasatinib and Blinatumomab in newly diagnosed Ph+ ALL	Phase II Multicenter	≥18 y.o.	Recruiting
<b>NCT03318770</b> <b>GIMEMA 2116</b>	Dasatinib and blinatumomab following chemotherapy in Ph+ ALL	Observational Case-Control Prospective	≥18 y.o.	Not yet recruiting
<b>NCT04329325</b>	Blinatumomab and TKI (Dasatinib) in patients with Ph+ ALL	Phase II Single Group-MSKCC	≥18 y.o.	Recruiting
<b>NCT02997761</b>	Ibrutinib and Blinatumomab in R/R B-ALL	Phase II Single group- University of California Davis	≥18 y.o.	Recruiting
<b>NCT03263572</b>	Blinatumomab, Methotrexate, cytarabine and ponatinib in Ph+ R/R ALL	Phase II Single center-MD Anderson	≥18 y.o.	Recruiting
<b>NCT03147612</b>	Low-intensity chemotherapy, ponatinib and blinatumomab in newly diagnosed and R/R Ph+ ALL	Phase II Single center- MD Anderson	≥18 y.o.	Recruiting
<b>NCT03628053</b> <b>OBERON</b>	Tisagenlecleucel vs Blinatumomab or Inotuzumab for patients with R/R B-ALL	Phase III Randomized Multicenter	≥18 y.o.	Not yet recruiting
<b>NCT03739814</b>	Inotuzumab ozogamicin and blinatumomab in patients with newly diagnosed or R/R CD22+ B-ALL	Phase II Multicenter	≥18 y.o.	Suspended-Request for amendment
*Table is not comprehensive, please see <a href="https://clinicaltrials.gov">clinicaltrials.gov</a> for additional ongoing trials				

**Table 6:** Ongoing trials with Blinatumomab in pediatric and AYA patients.

Patients	Phase	N	Response	Group/study
<b>Pediatric and adult R/R ALL (CTL119) (4-1BBz CAR)</b>	Phase I/IIa	N=30 25 pediatric 5 adult	CR: 27 (90%) MRD negative: 22/27 (81%) 6-mo EFS: 67% (95% CI, 51-88) 6-mo OS: 78% (95% CI, 65-95)	[60] NCT01626495 NCT01029366
<b>Pediatric and AYA R/R B-ALL (CTL119) (4-BBz CAR)</b>	Phase I/II	N=45	ITT MRD: (40/45) 89% 12-mo EFS: 50.8% (95% CI, 36.9-69.9) 12-mo OS: 69.5% (95% CI, 55.8-86.5)	[46] NCT02028455 PLAT-02
<b>Children and AYA CD19+ ALL (CTL119) (4-1BBz)</b>	Pilot protocol	N=53	CR: 50 (94%) MRD negative: 45 (90%) 6-mo EFS: 70% (95% CI, 58-85) 6-mo RFS: is 72% (95% CI, 59-87%) 12-mo EFS: 45% (95% CI, 31-66) 12-mo RFS: 44% (95% CI, 30-65) 12-mo OS: 78% (95% CI, 67-91)	[81]
<b>Pediatric and AYA R/R B-ALL KTE-C19 (CD28 CAR)</b>	Phase 1	N=5 4 treated	MRD negative CR: 4	[82] (NCT02625480) ZUMA-4
<b>Pediatric and AYA with R/R B-ALL or NHL (TCR zeta and CD28 signaling domain)</b>	Phase 1	N=21	CR: 14/21 (66.7%) (95% CI, 43.0–85.4) MRD negative: 12/20 (60%) (95% CI, 36.1–80.9) OS: 51.6%	[45] NCT01593696
<b>Pediatric and AYA R/R B-ALL CD19+ Tisagenlecleucel (CD3-zeta: 4-1BB) 5% blasts in BM</b>	Phase II Multicenter	N=113 screened N=97 enrolled N=75 infused	RR: 65 (81%) (95% CI, 71-89) CR: 45 (60%) CRh: 16 (12%) MRD negative: 64 (98%) 6-mo RFS: 80% (95% CI, 65-89) 12-mo RFS: 59% (95% CI, 41-73) 18 mo RFS: 66% (95% CI, 52-77) 6-mo EFS: 73% (95% CI; 60-82) 6-mo OS: 90% (95% CI 63-86) 12-mo EFS: 50% (95%CI, 35-64) 12-mo OS: 76% (95% CI, 63-86) 18 mo OS: 70% (95% CI, 58-79)	[43, 47] NCT02435849 ELIANA

<b>Adult R/R ALL</b> <b>KTE-C19 (CD28 CAR)</b>	Phase 1	N=53	CR: 44/53 (83%) (95% CI, 70-92) MRD: 32 (67%; 95% CI, 52-80) Median EFS: 6.1 months (95% CI, 5.0-11.5) Median OS: 12.9 months (95% CI, 8.7-23.4)	[44] NCT01044069
<b>R/R B-ALL treated with CD22 BBz CAR, (4-1BB domain)</b>	Phase I	21 Children and adults 17-previously treated with CD19	CR: 12 (57%) CR MRD negative 9 (75%)	[48] NCT02315612
<b>R/R B-Cell malignances, sequential infusion of CD19 and CD22 3rd generation CAR-T</b>	Pilot	N=89 N=51- ALL	MRD Negative: 96% (95% CI, 86.3-99.5) Median PFS: 13.6 mo (95% CI, 6.5 to NE) Median OS: 31.0 mo (95% CI, 10.6-NE)	[83] ChiCTR-OPN-16008526
<b>Pediatric R/R B-ALL</b> <b>AUTO3-Bicistronic CD19 and CD22 CAR</b> <b>Ox40 co-stim for CD19 CAR</b> <b>41BB co-stim for CD22</b>	Phase I	N=10 7-Treated	CR and MRD: 7/10 1 year follow up: -3 relapses -4 patients in ongoing CR/CRh with B-Cell	[84] Amelia Study
<b>CD19/CD22 bispecific CAR-T in children and AYA patients with B-ALL</b> <b>Lentiviral transduction bivalent CAR</b> <b>fmc63 CD19</b> <b>m971 CD22</b> <b>41BB costimulatory endo-domain</b>	Phase I	N=4	CR: 4/4 (100%) MRD negative: 3/4 (75%)	[85]
<b>CD19 and CD22 CART cocktail for R/RB-ALL</b>	Phase I	N=16	CR/CRh: 15/15 (100%) MRD negative: 14/15 (93.3%)	[86]

**Table 7:** B-cell CART studies.

## CAR-T Therapy

Chimeric antigen receptors (CARs) are T-cells that are engineered to recognize tumor associated antigens. CARs are composed of T-cell signaling moiety and a tumor specific antigen binding domain, commonly a single-chain variable-fragment monoclonal antibody that is fused to a transmembrane domain [42]. Various generations of CARs have been developed to heighten function based on the knowledge that T-cells require two signals to be activated, T-cell receptor (TCR) engagement and co-stimulation. First generation CARs consisted of T-cell receptor complex domain and antigen recognition domains, only providing signal 1; whereas, second generation CARs were constructed to contain co-stimulatory signaling domains including CD28, 4-1BB (CD137), and OX40 (CD134) [42]. Third generation CARs further enhanced T-cell signaling by containing tandem cytoplasmic signaling from two co-stimulator receptors (CD28-4-1BB or CD28-OX40) [42]. Fourth generation CARs have pro-proliferative T-cell costimulatory ligands (4-1BBL) or proinflammatory cytokines (IL-12) [42]. Advantages of CAR-T therapy include HLA-independent recognition of tumor antigen; allowing T-cells to recognize the antigen as foreign and activity is unaffected by HLA down regulation in tumor cells. In addition, both CD4+ and CD8+ T-cell subsets are transduced, allowing for both helper and cytotoxic activity.

### CD19 CAR-T

**Commercial approval:** There are two FDA approved CD19 directed CAR-T products, tisagenlecleucel (CTL019) and axicabtagene ciloleucel. Both are second generation CAR-T-cells. Tisagenlecleucel uses a 4-1BB costimulatory domain and is transduced by lentivirus, whereas axicabtagene ciloleucel uses the CD28 costimulatory domain and is produced by retroviral transduction. Tisagenlecleucel is FDA approved for relapsed/refractory B-ALL in pediatric and young adult patients. Axicabtagene ciloleucel is approved for relapsed/refractory B-cell lymphoma in adults and is being studied for the treatment of pediatric B-ALL.

The FDA approval of tisagenlecleucel was based on a pivotal, global multicenter trial of tisagenlecleucel in pediatric relapsed/refractory, CD19+, B-ALL that showed an overall remission rate of 81%, all with MRD response [43]. Six- and 12-month relapse-free survival rates were 80% and 59% respectively [43].

**Clinical trials:** Trials have shown second generation CD19-CAR-T therapy induced remission in heavily pre-treated patients with multiple relapsed/refractory B-ALL [43-46]. Axicabtagene ciloleucel is currently being studied in pediatric patients with relapsed/refractory B-ALL previously treated with salvage therapy or HSCT

(NCT02625480). In adult relapsed/refractory B-ALL, 44 of the 53 patients (83%) had a CR and 32 patients (67%) had a MRD response [44]. In a Phase 1 trial of 21 pediatric patients with relapsed/refractory B-ALL or Non-Hodgkin's Lymphoma, CR was seen in 66.7% (14 of 21) of patients with a MRD response occurring in 60% of patients [45]. CD19-CAR-T therapy is being studied in newly diagnosed very high-risk (VHR) B-ALL patients in the COG trial AALL1721 (NCT03876769) and St Jude Total Therapy XVII (NCT03117751). In addition, CD19-CAR-T is being studied in combination with checkpoint inhibitors to enhance efficacy for the CAR-Ts and decrease T-cell exhaustion (Table 8).

**Unanswered questions:** Several unanswered questions remain including the role of CD19-CAR-T in upfront therapy and if it should be used as monotherapy versus a bridge to HSCT. Historically, patients at high risk of relapse, myeloablative transplant is recommended. In the Park et al. study, subsequent transplant did not influence EFS or OS for the patients who had a MRD response after CD19-CAR-T [44]. In the Eliana study, the 18-month EFS was 66% with a median persistence of CAR-T of 168 days [43,47]. Eight patients underwent allogeneic hematopoietic stem-cell transplantation while in remission [43,47]. Conversely, 29 (45%) patients had an ongoing response without additional treatment, and 19 patients (29%) relapsed without receiving additional therapy [43,47]. An association has been seen between early loss of B-cell aplasia with relapse. In patients with early loss of B-cell aplasia, if there is an available donor and the patient is in good functional status, early transplant is recommended. Long term follow-up is needed to better assess which patient's CAR-T can be used as monotherapy.

### CD22 CAR-T

Studies of CD22 showed a similar anti-leukemic effect and safety profile to CD19-CARs. In a phase I trial of CD22 BB.z CART in heavily pretreated relapsed/refractory patients, 12/21 (57%) of patients had a CR, with nine patients having a MRD response (NCT02315612) [48]. To further potentiate the efficacy of CD22, CAR-T Bryostatin 1 has been seen to upregulate CD22 on leukemia cell lines and improve CART function and persistence [49].

### Dual targeting CAR-T

Antigen-escape relapse after CD19 directed therapies is a major challenge, thus dual targeting of CD19 and CD22 is being developed. Bi-cistronic CAR-T that express CD19 and CD22 scFv simultaneously on every cell and mono-CARs that express CD19 and CD22 scFv separately have been developed as dual-target CAR-T cells. Phase 1 trials of different dual targeting CAR-T therapies are highlighted in Table 8.



Study	Aim	Design	Age	Status
<b>NCT03117751</b> <b>St. Jude Total Therapy XVII</b>	19-BBzCART for MRD positive B-ALL or isolated CNS relapse	Randomized Single Center	1 y.o. to 18 y.o.	Recruiting
<b>NCT03876769</b> <b>CASSIOPEIA</b>	Tisagenlecleucel in newly diagnosed HR B-ALL with EOC MRD positive disease (CTL019)	Phase II Multicenter	1 y.o. to 25 y.o.	Recruiting
<b>NCT02625480</b> <b>ZUMA-4</b>	KTE-C19 in Pediatric and adolescents with R/R B-ALL	Phase I/II Multicenter	Up to 21	Recruiting
<b>NCT02808442</b>	UCART19 in R/R pediatric B-ALL	Phase I Multicenter	Upt 17 y.o.	Recruiting
<b>NCT04154709</b>	CTA101 UCAR-T for R/R CD19+ B-ALL	Phase I	3 y.o. to 70 y.o.	Recruiting
<b>NCT03876769</b>	Tisagenlecleucel in HR B-ALL with EOC + disease	Phase II Multicenter	1 y.o. to 25 y.o.	Recruiting
<b>NCT02435849</b>	CTL019 in R/R or relapse <6 mot after allo-HSCT	Phase II Multicenter	Up to 25 y.o.	Active not Recruiting
<b>NCT03263208</b>	CD19 CAR-T for R/R CD19 B-ALL	Phase I/II	2 y.o. to 70 y.o.	Unknown
<b>NCT02924753</b>	CD19 CAR-T in B-ALL	Phase I Single center-China	4 y.o. to 70 y.o.	Unknown
<b>NCT04276870</b>	CD19 autologous CAR-T Cohort A- hypodiloid Cohort B- t(17; 19) Cohort C- infants with very high risk KMT2A B-ALL Cohort D- CNS relapse who did not receive XRT or BMT CART19 cells transduced with a lentiviral vector to express anti-CD19 scFv:41-BB:TCRζ	Phase II Single Center-UPenn	Up to 29 y.o.	Recruiting
<b>NCT03768310</b> <b>CARMA</b>	CD19 multivirus-specific CAR-for CD19+ B-ALL of NHL undergoing related allo HSCT	Phase I Single Center-Baylor	1 y.o. to 75 y.o.	Not yet recruiting
<b>NCT04214886</b>	CD19 CAR-T for R/R B-cell malignancies (CD19-CD34 CAR transduced T cells)	Phase I Single Center-Loyola University	18 y.o. and older	Recruiting

<b>NCT04404660 AUTO1</b>	CD19 CAR-T in R/R B-ALL	Phase I/II Multicenter	18 y.o. and older	Recruiting
<b>NCT04225676</b>	Efficacy and safety of reinfusion of Tisagenlecleucel in pediatric and AYA patients with B-ALL	Phase II	Up to 25 y.o.	Not yet recruiting
<b>NCT04094311</b>	Study of out of specification for release as commercial product for Tisagenlecleucel in pediatric and AYA R/R B-ALL and B-NHL  CTL019	Phase III	Child, AYA, adult	Recruiting
<b>NCT03743246 (JCAR017)</b>	JCAR017 in R/R B-ALL or B-NHL	Phase I/II Multicenter	Up to 25 y.o.	Recruiting
<b>NCT03103971</b>	huJCAR014 for R/R B-Cell ALL and NHL  (CD19CAR-4-1BB-CD3zeta-EGFRt-expressing CD4+/CD8+ T-lymphocytes)	Phase I Single Center- University of Washington	18 y.o. and older	Recruiting
<b>NCT04264039</b>	Anti-CD19 U-CAR-T for B cell hematologic malignancies	Early Phase I Single Center- China	2 to 70 y.o.	Not yet recruiting
<b>NCT03389035 CARCIK</b>	CARCIK-CD19 in R/R ALL post HSCT	Phase I/II Multicenter- Italy	1 y.o. to 75 y.o.	Recruiting
<b>NCT03666000</b>	PBCAR0191 for patients with R/R NHL and R/R B-ALL	Phase I/IIa Multicenter	18 y.o. and older	Recruiting
<b>NCT04088890</b>	Autologous CD22 CAR-T in R/R B-ALL	Phase I Single Center- Stanford University	18 y.o. and older	Recruiting
<b>NCT03241940</b>	CD19/CD22 CAR-T for R/R B-cell malignancies	Phase I Single center- Lucile Packard Children's Hospital, Stanford	1 y.o. to 30 y.o.	Recruiting
<b>NCT03289455 AMELIA</b>	CD19/22 CART (AUTO3) for R/ALL	Phase I/II Multicenter-UK	1 y.o. to 24 y.o.	Active, not recruiting

<b>NCT03448393</b>	CD19/CD22 CAR-T in R/R B-ALL	Phase I Single center- NCI	3 y.o. to 30 y.o.	Recruiting
<b>NCT03233854</b>	CD19/CD22 CAR-T in R/R B-cell malignancies	Phase I Single center- Stanford University	18 y.o. and older	Recruiting
<b>NCT03330691</b>	CD19/CD22 CAR for R/R B-ALL	Phase I Single center- Seattle	Up to 30 y.o.	Recruiting
<b>NCT04049383</b>	CD20/19 CAR-T for R/R B-ALL	Phase I Single center- Medical College Wisconsin	1 y.o. to 39 y.o.	Not yet recruiting
<b>NCT02906371</b>	Optimization of Tocilizumab timing for CD19 CAR-T associated CRS (CTL019)	Pilot study Single Center- CHOP	1 y.o. to 24 y.o.	Active, not recruiting
<b>NCT02445222</b>	Long term follow-up of CAR-T. Patients are followed for 15 years following their last CAR-T infusion	Not applicable Multicenter	All ages	Recruiting

\*Table is not comprehensive, please see [clinicaltrials.gov](https://clinicaltrials.gov) for additional ongoing trials

**Table 8:** Ongoing CAR-T trials for B-ALL.

### CAR-T for T-ALL

Several challenges have been encountered in the development of CAR-T including disease heterogeneity, T-cell aplasia, fratricide, and increased side effects in T-ALL. There is a large amount of disease heterogeneity in T-ALL due to distinct stages when T-cell differentiation arrest occurs, making identifying a target difficult [50]. Furthermore, targets on T-lymphoblasts are likely to be on normal T-cells leading to a severe immunocompromised state and fratricide of CAR-T. Fratricide of the CAR-T product or the destruction of the CAR-T due to the target being on both the malignant T-cells and on the CAR-T, leads to decreased CAR-T expansion and persistence. CD3 and CD7 CAR-Ts are more prone to fratricide compared to other targets such as CD1a and CD5 [51,52]. Gene editing to decrease expression of the target antigen on the CAR-T is being studied [53,54] in addition to “off-the-shelf”

CAR-T without the target antigen [55]. Preclinical efficacy has been shown in NK-CARs [56,57].

### Challenges in CAR-T manufacturing

One of the challenges of CAR-T is manufacturing the product. For adequate collection of T-cells, it requires an absolute lymphocyte count  $\geq 500$  cells/ $\mu$ L or an absolute CD3 count  $\geq 150$  cells/ $\mu$ L. This is particularly challenging in heavily pre-treated patients due to poor bone marrow in patients with a higher cumulative dose of chemotherapy [58] and in younger patients due to their size. In the phase II study of tisagenlecleucel, eight patients did not receive the CAR-T infusion due to manufacturing related issues and another seven died before infusion [43,47]. Early collection is suggested for high risk patients; and gene-edited, universal CAR-T-cells are in development. Allogeneic CD19-CAR-T-cells successfully treated two

infants with B-ALL using non-HLA-matched, universal, CAR19 (UCART19) T-cells manufactured from a healthy female donor [59].

### Persistence of CAR

There have been several mechanisms proposed explaining why certain patients do not respond or have a durable remission following treatment with CD19-CAR-T-cells. One mechanism of relapse is poor persistence of the CAR-T-cell [46,60]; however, the length of CAR persistence required to induce a durable response or cure is unknown. There is no commercially available test to detect CAR-T persistence. B-cell aplasia has been used as a marker with early emergence of CD19-positive B-cells, within six months of CAR-T infusion, being associated with early relapse [46,60]. The presence of hematogones in the bone marrow has also been suggested as an earlier marker of loss of persistence and can occur while B-cell aplasia is still present [61]. Persistence may also be influenced by the CAR-T construct. The 19-BBz CAR are more persistent (168 days) than 19-28zCAR (~28 days), and 19-BBz CAR-T are associated with longer remission without HSCT [43,45]. Another contributor to decreased persistence is the development of T-cell mediated anti-CAR immune response related to the murine CD19 scFV [45]. Re-infusion with humanized anti-CD19 CAR T-cells has induced remissions in children and young adults with relapsed/refractory B-ALL previously treated with murine-CD19-CAR-T [62]. Lastly, expansion and persistence are improved with CAR-T generated from early lineage T-cells (naïve T-cells and stem central memory T-cells) versus more differentiated T-cells (effector memory and terminal effector cells) [63,64]. Similar to studies in blinatumomab, T-cell exhaustion and high levels of T-regulatory cells have also been thought to contribute to treatment failure due to poor CAR-T persistence [65,66]. Most CAR-T protocols include lymphodepletion prior to CAR-T infusion, which leads to depletion of regulatory T-cells and greater engraftment. In addition, it is felt checkpoint inhibition may mitigate T-cell exhaustion. Re-expansion of CAR-T-cells has been seen in patients who are treated PD-1 inhibitors after early loss of CAR-T-cells or relapse [67].

### Antigen escape

In antigen directed therapy, escape, or loss of the therapy directed antigen on tumor cells is commonly seen in relapse [37,43]. Mechanisms of CD19 escape seen with blinatumomab and CD19-CAR-T include: selecting for pre-existing antigen negative leukemia, trogocytosis, the development of mutations or alternate splice variants of CD19, or lineage switching [68-74]. There are ongoing trials using two immunotherapies targeting different antigens and bispecific CAR-T-cells (Table 8) to mitigate this effect.

### Side effects of immunotherapy

**Cytokine release syndrome (CRS):** Cytokine release syndrome (CRS) is a systemic inflammatory response due to a rise in cytokine levels during T-cell activation and expansion. Symptoms range from mild and self-limiting to severe and life-threatening and consists of fever, myalgia, capillary leak, hemodynamic instability, coagulopathy and multi-organ failure [75]. Higher disease burden has been associated with higher grade CRS [60]. Varying grading symptoms have been developed, and ASBMT consensus grading system was developed last year [76]. Tocilizumab, an IL-6 receptor antagonist, has been shown to be effective in treating CRS [76]. Other medications that have been considered include infliximab, etanercept, and anakinra [75]. There are ongoing studies regarding the optimal timing of Tocilizumab administration where patients with a higher disease burden will receive early Tocilizumab (NCT0290637).

**Neurotoxicity:** Neurotoxicity has also been seen with immunotherapy. Symptoms include delirium, encephalopathy, aphasia, lethargy, seizures and cerebral edema [76]. Symptoms typically occur either during or more commonly after CRS. The ASBMT similarly recently created an Immune effector-cell associated encephalopathy (ICE) score [76]. Corticosteroids are recommended for severe neurotoxicity.

**B-cell aplasia:** B-cell aplasia is an on-target, off tumor adverse effect of immunotherapy directed to antigens on normal B-cells including CD19, CD20 and CD22. B-cell aplasia occurred in all patients who responded to Tisagenlecleucel, and 83% experienced B-cell aplasia for at least 6 months [43,47]. Immunoglobulin replacement is recommended following treatment while there are signs of B-cell aplasia.

### Future Directions

The development of immunotherapy is a major advancement in treating pediatric ALL. Particularly in relapsed and refractory B-ALL, immunotherapy has been able to induce remission in chemotherapy refractory patients who had limited treatment options. The timing of immunotherapy in treatment paradigms is being investigated including the role in upfront, salvage, consolidation, and maintenance therapy. Furthermore, checkpoint inhibitors are being studied to further enhance the efficacy of many immunotherapies (Table 9). The role of immunotherapy in T-ALL has remained a challenge, and further research into optimal targets to limit effects on normal T-cells and maximize the efficacy of the therapy is ongoing. Preclinical and clinical research has shown significant promise in improving survival for these patients.

Study	Aim	Design	Age	Status
NCT02767934	Pembrolizumab in ALL with MRD			
NCT03605589	Pembrolizumab Blinatumomab in pediatric and AYA R/R ALL	Phase I Pilot Single Center-Cincinnati Children's Hospital	1 y.o. to 40 y.o.	Recruiting
NCT03512405	Pembrolizumab and blinatumomab in R/R ALL	Phase I/II Single center-City of Hope Medical Center	≥18 y.o.	Recruiting
NCT03160079	Pembrolizumab and Blinatumomab in R/R B-ALL with high marrow lymphoblasts (>50% blasts)	Phase I/II Multicenter	≥18 y.o.	Recruiting
NCT02767934	Pembrolizumab in MRD+ ALL	Phase II Single Center- University of Washington	≥18 y.o.	Terminated due to lack of efficacy
NCT03286114	Augmentation of GVL effect with Pembrolizumab	Phase 1b Single center-University of Michigan	≥18 y.o.	Recruiting
NCT02879695	Blinatumomab and Nivolumab with or without Ipilimumab in patients with poor risk relapsed or refractory CD19+ precursor B-ALL	Phase I Multicenter	16 y.o. and older	Recruiting
NCT01822509	Ipilimumab or Nivolumab in patients with relapsed ALL	Phase I	≥18 y.o.	Active, not recruiting

**Table 9:** Checkpoint inhibitors.

## Conflicts of Interest

Brown: Scientific Advisory Boards – Novartis, Servier, Jazz, Janssen.

## Author Contribution Statement

All authors wrote manuscript.

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