

# Development of an Ultrasensitive HIV-1 DNA Detection Assay Based on an Automated $\pi$ Code End-Point PCR System

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## Abstract

Peripheral blood samples from patients diagnosed as HIV-1 positive and treated with anti-retroviral therapy (ART) for prolonged periods of time can present difficulties in current quantitative HIV-1 DNA detection, based on PCR assays. The current gold standard platform for HIV-1 DNA detection is real-time PCR, but its sensitivity is not always enough to detect extremely low levels of HIV-1 DNA in some patients. We have developed a novel end-point PCR assay based on precision image pi-code ( $\pi$ Code) MicroDiscs detection platform. The newly developed " $\pi$ Code assay" showed 100% sensitivity, while the real-time PCR assay showed 92.3%, in a head-to-head comparison using blood samples from 39 HIV-1 infected clinic patients, with a stable fully suppressed plasma viral-load (<20 copies/mL) for more than 2 years. Further analysis revealed a detection sensitivity over 27 times higher for the " $\pi$ Code assay" compared to real-time PCR. The  $\pi$ Code assay is very specific and reproducible, with less than 20% Coefficient of Variation (CV) in detection, ranging from 40 to 4000 HIV-1 DNA copies per one million white blood cells. The  $\pi$ Code assay may have a great potential to be a standard assay platform in the future for the HIV diagnostic field, focusing on HIV cure trials.

**Keywords:** HIV-1 DNA detection, Real-time PCR, End-point PCR

**Abbreviations:** HIV: Human Immune Deficiency Virus; HCV: Hepatitis C Virus

## Introduction

Currently, around 60-75% of the HIV positive patients in developed countries on anti-retroviral therapy (ART) have undetectable plasma viral load using current diagnostic PCR assays [1,2]. However, most patients have been found in many research studies to maintain a detectable level of HIV DNA-infected lymphocytes, and if treatment is interrupted, plasma viral load returns to detectable levels within a few weeks [3,4]. Therefore,

many current researches aim to study the possibility of therapeutic strategies to reduce this latent reservoir of HIV DNA positive cells [5,6].

Consistent detection of the presence and quantification of HIV-1 DNA in patient samples is one of the most technically challenging processes in the field of molecular diagnostics. It may be even more difficult to identify the presence of HIV-1 DNA when HIV-1 patients are under successful ART for a prolonged period [7-10].

Long duration of ART results in a reduction of HIV-1 DNA in peripheral blood mononuclear cells (PBMCs) [11-15]. It is estimated that HIV-1 infected cells are present in only 40-100 cells per one million of white blood cells [7-9,16-18], particularly if they commence ART with integrase inhibitor in early HIV-1 infection [19-21].

Quantification of HIV-1 DNA levels is important to evaluate the efficacy of ART in HIV-1 patients, since it has been widely reported that monitoring HIV-1 DNA levels is associated with long-term efficacy of ART in HIV-1 infected individuals [6,11,22-31]. Currently, most clinical molecular laboratories use the TaqMan probe based real-time PCR detection method for quantification of HIV-1 DNA. There is one commercially available diagnostic assay offering HIV-1 DNA detection, GeneXpert (Cepheid), which is also based on real-time PCR with TaqMan probe detection, providing qualitative analysis data [32-34].

In general, both, clinical diagnostic and molecular research laboratories, use real-time PCR as a gold standard method in molecular detection tests. Several reports have suggested that the real-time PCR method might not show the highest sensitivity [35,36]. Alternative detection methods have been evaluated to achieve higher sensitivity in several clinical sample analyses. These are based on end-point PCR detection method. A detection technique called enzymatic amplified detection of PCR amplified DNAs ("Enzyme-Linked Immunosorbent Assay (ELISA)-PCR") has been evaluated to have better sensitivity than real-time PCR method. This approach combines PCR based amplification of targeted DNA, followed by enzymatic signal amplification detection, which has been used in EIA (Enzyme Immunoassay) with microtiter plate for several proteins [36-41]. Furthermore, the droplet digital PCR (ddPCR) method has been developed to achieve superior sensitivity and quantification capability to real-time PCR method. The ddPCR is also based on end-point PCR platform [9,28,42,43].

We have evaluated a totally new end-point PCR technique, developed by PlexBio. This detection technique is based on precision image MicroDisc detection, using an automated IntelliPlex1000  $\pi$ Code processor machine based on circular  $\pi$ Code MicroDisc for detecting PCR amplified DNAs. The  $\pi$ Code end-point PCR assay was initially developed for detection and identification of various oncogene markers and HCV genotyping [44]. This assay, however, was not systematically evaluated to assess detection sensitivity compared to gold standard real-time PCR assay.

In this report, we aimed to compare real-time PCR assays with the  $\pi$ Code end-point PCR detection assay for HIV-1 DNA. We used the well-characterized primer set of sk145-skcc1b for detecting HIV-1 gag region for this comparison. This primer set has been evaluated in an enormous number of articles in the past and was used in the diagnostic kit of the "Amplicor HIV-1 detection assay" (Roche Diagnostic) [40,45,46], the first FDA approved HIV-1 DNA diagnostic assay. Since there is no commercial HIV-1 DNA assay available, we developed a quantitative real-time PCR assay with TaqMan probe using the sk145-skcc1b primer set, which have been extensively used in our previous HIV clinical trial studies [47-55] and basic studies on HIV-1 gene silencing and microRNA analysis [54,56-63]. We used our real-time PCR assay as a gold standard real-time PCR assay. We conducted several comparison experiments of real-time PCR assay and the  $\pi$ Code end-point assay in order to evaluate sensitivity and specificity.

## Subjects and Methods

### Study design, white blood cells preparation and ethics

Thirty-nine HIV-1-infected individuals, who had received ART, and showed completely suppressed levels of HIV-1 viral load (<20 copies/mL: HIV-1 RNA copy number in plasma, COBAS AmpliPrep/COBAS TaqMan HIV-1 Test) for more than 2 years, were analyzed in this study. The samples were from the NSW State Reference laboratory for HIV, St. Vincent's Hospital (Darlinghurst, Australia). Demographics and HIV disease characteristics are shown in Supplementary Table 1. The white blood cells (WBCs) were obtained from 3 mL of whole blood in ACD (citric acid dextrose) tubes using the red blood cell lysis buffer (Sigma-Aldrich, 11814389001 Roche), following manufacturer's instructions. The WBCs were counted using the C-Chip disposable Hemocytometer, (Cat # DHC-NO1-250, Nanoentek, Seoul, Korea), and the cell count number was used for normalization of HIV-1 DNA copy number. This study was approved by the St. Vincent's Hospital Human Research Ethics Committee (HREC LNR/16/SVH/327).

### DNA extraction and real time PCR amplification

DNA extraction from WBCs was conducted with the Maxwell RSC system, an automated extraction instrument (Promega, Madison, WI, USA), using Maxwell RSC Buffy Coat DNA kit, Cat # AS1540 (Promega, Madison, WI, USA). Real-time PCR

amplification was performed using forward primer of the sk145: 5'-AGT GGG GGG ACA TCA AGC AGC CAT GCA AAT-3', and reverse primer of the skcc1b: 5'-TAC TAG TAG TTC CTG CTA TGT CAC TTC C-3', and the LNA TaqMan probe: FAM 5'-ATC AAT GAG GAA GCT GC-3' BHQ1, where LNA (locked nucleic acid) modified bases are shown with underline. Premix Ex Taq Probe qPCR kit (Cat # RR390W, Takara) was used with the LightCycler 480 real-time PCR machine (Roche). Reaction conditions were 95°C for 30 seconds as an initial denaturation of DNA, followed by 50 PCR cycles of 95°C for 7 seconds and 60°C for 30 seconds, using 0.25  $\mu$ M of both primers and 0.075  $\mu$ M of LNA TaqMan probe. A total volume of 50  $\mu$ L per reaction, using 8  $\mu$ L of extracted DNA, resulting in around 200,000 equivalent WBCs analyzed in each PCR reaction. Quantification of HIV-1 copy number per patient sample was determined from a standard curve generated with HIV-1 plasmid controls, with concentrations of 4,40,400, 4000 HIV-1 copies/ $\mu$ L. The HIV-1 copy number for each sample, average value of duplicate reactions, was normalized with the cell count number. HIV-1 copy number per one million of WBCs was used as a standardized unit.

#### The $\pi$ Code end-point PCR assay

Two  $\pi$ Code probes were designed for the  $\pi$ Code assay: sk- $\pi$ Code set-1 probe: AmC6-5'-CAT CAA TGA GGA AGC TG-3' and sk- $\pi$ Code set-2 probe: AmC6-5'-AAG CTG CAG AAT GGG-3', where "Am" indicates an amine modification at the 5' end of the oligonucleotide and "C6" indicates a carbon six linker. A blank  $\pi$ Code probe was also prepared: Blank AMC6: AmC6-5'-AAT ATA ATA TAT TAT-3'. The blank probe was used to check the levels of non-specific binding signal for each " $\pi$ Code end-point PCR assay" run. Coupling reactions were conducted using the pre-activated  $\pi$ Code MicroDiscs (PlexBio) and amine-modified probes according to the manufacturer's instructions. After coupling reactions were completed, the covalently linked sk- $\pi$ Code set-1 probe, the sk- $\pi$ Code set-2 probe and the blank probe were prepared at a concentration of 100 MicroDiscs per  $\mu$ L in the  $\pi$ Code coupling storage buffer (PlexBio) and stored at 4°C until use.

Primers were the same as the real-time PCR, except that a biotin labeled reverse primer of skcc1b was used for the  $\pi$ Code assay. Like the real-time PCR analysis, a volume of 8  $\mu$ L of extracted DNA sample was used, in duplicate, in the  $\pi$ Code end-point PCR assay. The reaction conditions were the same without the addition of the LNA TaqMan probe, and the  $\pi$ Code PCR was stopped at 35 cycles. The PCR was followed by

hybridization and washing.

The hybridization of the amplified DNA product was performed in a 96-well microtiter plate, with a mix of 50 MicroDiscs of the three  $\pi$ Code probes (sk- $\pi$ Code set-1, sk- $\pi$ Code set-2, and the blank probes). The program was 40°C for 20 minutes, followed by three times washing step with the washing buffer (PlexBio). After washing the  $\pi$ Code magnetic MicroDiscs, 50  $\mu$ L of the fluorescent reagent of streptavidin-phycoerythrin (SA-PE; PlexBio) was added to the reaction well, followed by four-times washing steps. All these reaction steps, including hybridization, washing, and adding of SA-PE solution were performed in an automated machine, "IntelliPlex1000  $\pi$ Code Processor". The fluorescent signal generated from the  $\pi$ Code MicroDiscs was detected using the "PlexBio100 Analyzer", equipped with two detection units. The machine uses a CCD camera to read the distinct image patterns of the MicroDiscs under bright field to identify the three probes. The analyzer also measured and calculated the mean fluorescence signal intensity (MFI) corresponding to the sk- $\pi$ Code set-1 probe, the sk- $\pi$ Code set-2 probe, and the blank probe, identified in the bright field (see the representative image in Figure 1c).

#### Quantification capability of the $\pi$ Code end-point PCR assay

HIV-1 plasmid DNA at a concentration of 4000 HIV-1 copies/ $\mu$ L was amplified by 50 PCR cycles with sk145 and skcc1b primer set, followed by three times dilution series of the PCR amplified DNA. The serially diluted DNAs were analyzed using IntelliPlex-1000  $\pi$ Code Processor and PlexBio-100 Analyzer. Plasmid concentrations of 4,40,400,4000 HIV-1 copies/ $\mu$ L were used to assess the ability to do quantitative detection of known HIV-1 plasmid concentrations.

#### Identification of HIV-1 subtypes

To confirm HIV-1 subtype, protease/reverse transcriptase (RT/POL) regions were amplified with the in-house Drug Resistance assay, at the NSW State Reference laboratory for HIV, St Vincent's Hospital, as described previously [49]. Briefly, DNA extracted from white blood cells was amplified by 1-step RT-PCR with Platinum Taq High Fidelity PCR kit (Invitrogen) for 30 minutes at 52°C, 2 minutes at 94°C, and 50 cycles of 15 seconds at 94°C, 30 seconds at 55°C, 1 min 30 seconds at 68°C using forward primer: 5'- TGA TGA CAG CAT GYC ARG GAG T -3' and reverse primer: 5'- CTG CTA TTA ADT CTT TTG CTG GG -3'. In the second step, a nested PCR was performed with VELOCITY DNA

Polymerase PCR kit (Bioline) using forward primer: 5'- GAA GGA CAC CAA ATG AAA GAY TG -3' and reverse primer: 5'- GTA TGT CAT TGA CAG TCC AGC -3' for 2 minutes at 98°C and 40 cycles of 30 seconds at 98°C, 30 seconds at 55°C, 1 minute 30 seconds at 72°C. The amplified DNA was subjected to a standard BigDye sequence analysis with 7 sequencing primers: Primer-1: 5'- GAA GGA CAC CAA ATG AAA GAY TG -3', Primer-2: 5'- ATT GTT TAA CYT TTG GGC CAT CC -3', Primer-3: 5'- TAG GAC CTA CAC CTG TCA ACA TAA TTG G -3', Primer-4: 5'- CCA AAA GTT AAA CAA TGG CCA TTG ACA GA -39, Primer-5: 59- TCT AAA AGG CTC TAA GAT TTT TGT CAT GC -3', Primer-6: 5'- GCT TCC ACA GGG ATG GAA AGG -3', and Primer-7: 5'- CAG CAC TAT AGG CTG TAC TGT CCA -3'. HIV-1 subtype was identified using the HIV Drug Resistance Database, Stanford University.

### Detection sensitivity comparison

A “head-to-head” comparison of detection sensitivity of both assays, real-time PCR and  $\pi$ Code end-point PCR, was conducted with HIV-1 DNA extractions from three random clinical samples. The samples were three-fold serially diluted and evaluated with both assays. For further comparisons of these two assay formats, the three serially diluted samples were also analyzed with 50 cycles of the  $\pi$ Code end-point PCR assay, equivalent to the real-time PCR analysis.

### Reproducibility of the $\pi$ Code end-point PCR

Five clinical samples containing different amounts of HIV-1 DNA were tested. The experiment was independently repeated three times to obtain coefficient of variation (CV) values and assess reproducibility.

### Detection specificity

The extracted DNA obtained from a HIV-2 sample was used for evaluating assay specificity of the  $\pi$ Code end-point PCR assay and the real-time PCR assay. Five healthy donors' samples were used as controls. The HIV-2 sample was confirmed with HIV-2 infection using serological screening assay, followed by our western blot confirmation assay (Cat No. 72252 New LAV Blot II Assay, Bio-Rad). HIV-2 DNA detection was confirmed according to the in-house HIV-2 DNA assay procedure at St Vincent's Hospital. In brief, DNA extracted from white blood cells was amplified with Taq DNA polymerase from Premix Ex Taq-Probe qPCR (Cat # RR390W, Takara), using a forward primer: 5'- GAG CCC TGA GAG GTT CTC-3' and a reverse primer: 5'- GGT YTT TAA GCA AGC AAG CGT GG -3' with TaqMan probe: 5'-FAM- AGA GTC

TAG CAG GGA ACA CCC AGG C-3' BHQ1. The PCR was performed in a Light-Cycler 480 Real-Time PCR machine (Roche) for 30 seconds at 95°C as an initial denaturation of DNA, and 50 cycles of 95°C for 7 seconds and 60°C for 30 seconds, using 0.25  $\mu$ M of both primers and 0.075  $\mu$ M of the TaqMan probe in a total volume of 40  $\mu$ L per reaction.

### Statistical analysis

Standard curves from known concentrations of HIV-1 plasmid copy numbers were generated with GraphPad Prism v7 (GraphPad Software), using the sigmoidal analysis function. Correlation analysis of the  $\pi$ Code end-point PCR assay and real-time PCR assay was performed using Pearson correlation test in GraphPad Prism v7, and further comparison was done with Bland-Altman plot analysis in GraphPad Prism v7. All data analyses were also performed using GraphPad Prism v7.

## Results

### The $\pi$ Code end-point PCR assay

The position in the HIV-1 genome of all primers and probes used in this study are shown in Figure 1a. Two probes were designed for the  $\pi$ Code end-point PCR assay (sk- $\pi$ Code set-1 probe and sk- $\pi$ Code set-2 probe). The two probe positions relative to the original Roche HIV-1 Amplicor TaqMan probe are shown in Figure 1a. The two probes are located within the sequence region of the original Roche HIV-1 Amplicor TaqMan probe. The locked nucleic acid (LNA) TaqMan Probe was used for the real-time PCR analysis. The sequence of the LNA TaqMan probe is nearly identical to the sk- $\pi$ Code set-1 probe with only one base difference (Figure 1a).

(a) HIV-1 genome structure is shown with HIV-1 gag region alignment of the primers and the probe used in this study. The forward primer of sk145 and the reverse primer of skcc1b primers target HIV-1 gag region, which were indicated with red arrows along with HIV-1 sequence. Locations of TaqMan probe for real-time analysis and sk- $\pi$ Code set-1, sk- $\pi$ Code set-2 probes for  $\pi$ Code end-point assay are also shown along gag sequence. TaqMan probe and sk- $\pi$ Code set-1 were designed to hybridize an almost identical region with only one base difference. The sk- $\pi$ Code set-2 probe targets 12 bases downstream region of that of the sk- $\pi$ Code set-1 probe site. The original TaqMan probe in Amplicor HIV-1 detection assay is indicated at the top. (b) The  $\pi$ Code end-point PCR assay principle and procedure (See detailed description in Materials and Methods). (c) Bright light field and fluorescent field image captured by PlexBio100 Analyzer. Bright light phase



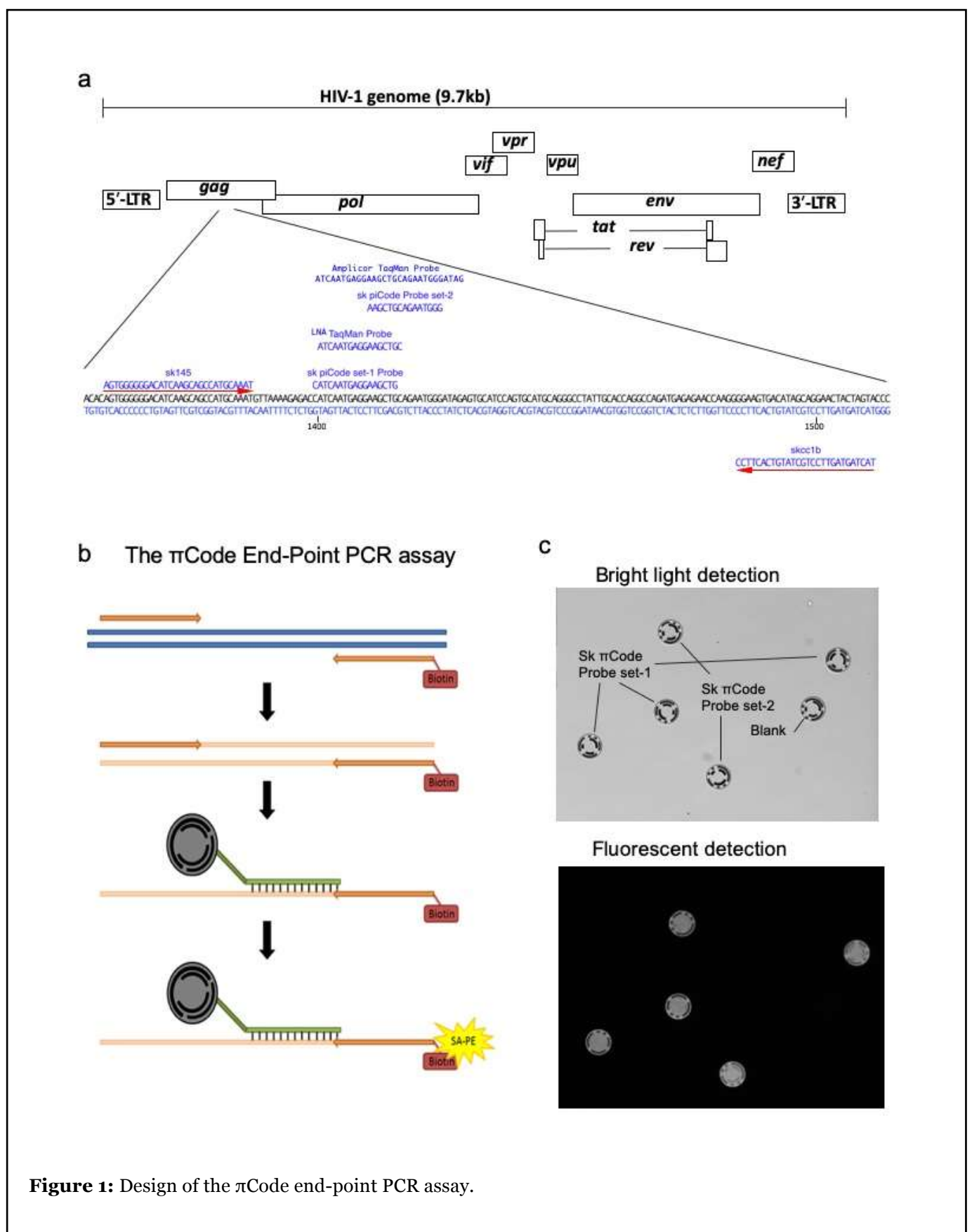


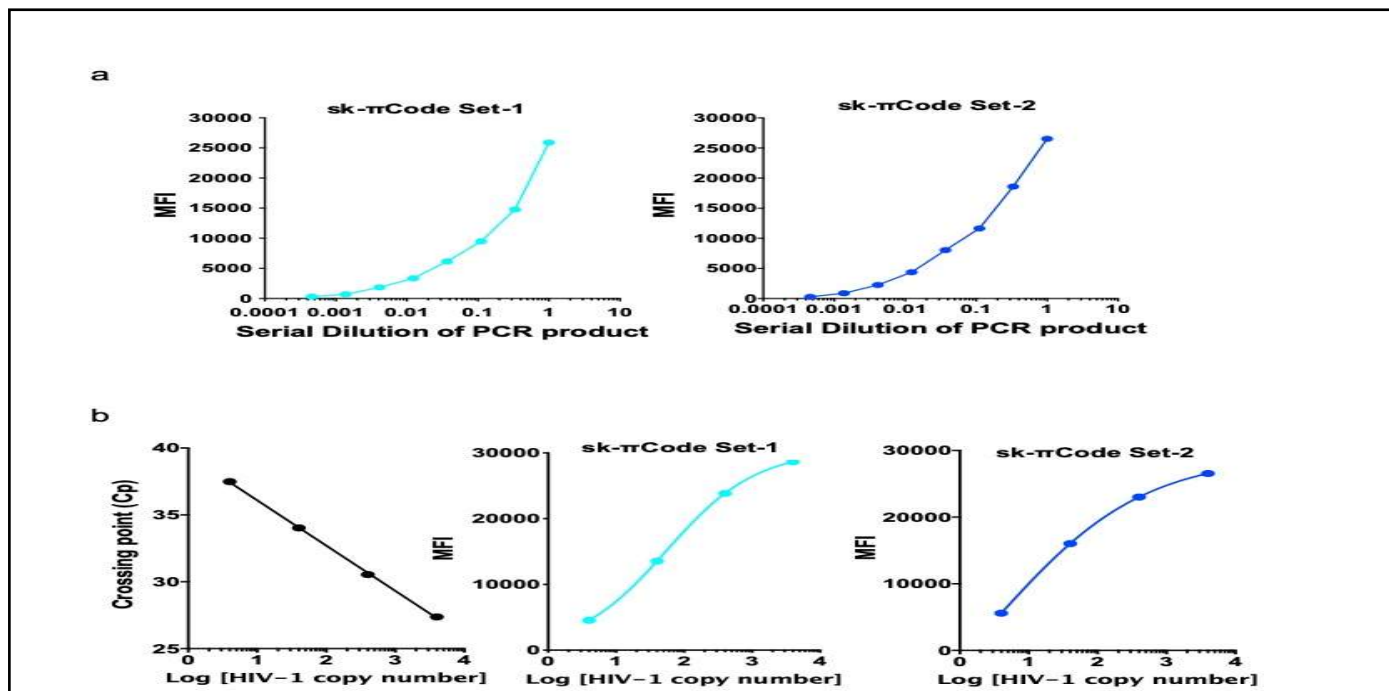
image identified distinct  $\pi$ Code magnetic MicroDiscs linked with sk- $\pi$ Code set-1 probe and the sk- $\pi$ Code set-2 probe. The blank probe was used for checking any non-specific binding to the  $\pi$ Code disc. The fluorescent detection image was shown with the same field as in the bright light image.

A diagram of the  $\pi$ Code end-point PCR assay procedure is shown in Figure 1b. The reverse primer of skcc1b was labeled with Biotin. After PCR amplification, all PCR amplified DNAs contained the Biotin label. In the next reaction step, the Biotin labeled amplified DNAs were hybridized with the sk- $\pi$ Code set-1 probe and the sk- $\pi$ Code set-2 probe, each probe was covalently linked to  $\pi$ Code magnetic MicroDiscs with distinct image patterns. After washing the  $\pi$ Code MicroDiscs, the streptavidin-phycoerythrin (SA-PE) fluorescent solution was added to the reaction well, which was then captured through the high affinity reaction between the biotin and streptavidin, resulting in quantitative fluorescence from the phycoerythrin bound to  $\pi$ Code discs in samples

containing HIV-1 DNA. All these reaction steps, including hybridization, washing, and adding of SA-PE reagent, were performed by the “IntelliPlex1000  $\pi$ Code Processor” automated machine, using a 96-well microtiter plate. The PlexBio100 Analyzer, equipped with two detection units, was used for signal detection and quantitation. This analyzer uses a CCD camera to read the distinct image patterns of the  $\pi$ Code MicroDiscs under bright field and is also able to measure and calculate the mean fluorescence signal intensity (MFI) corresponding to the sk- $\pi$ Code set-1 probe and the sk- $\pi$ Code set-2 probe (Figure 1c).

### Quantification of the $\pi$ Code end-point PCR assay

As an initial evaluation step, we needed to assess whether the  $\pi$ Code end-point PCR assay could quantitatively detect a range of concentrations of PCR amplified DNA. The amplified HIV-1 plasmid DNA (initial concentration of 4000 HIV-1 copies/ul) was three times serially diluted and analyzed using IntelliPlex-1000  $\pi$ Code Processor



**Figure 2:** Capability of quantitative detection by the  $\pi$ Code end-point PCR assay. (a) A PCR amplified DNA sample was three-fold serially diluted and assessed by the  $\pi$ Code end-point PCR assay. MFI value (Mean Fluorescent Intensity) was dependent on the amount of the amplified HIV-1 DNA. (b) Standard curves generated by the  $\pi$ Code end-point PCR assay. Plasmid concentrations of 4,40,400,4000 copies/ $\mu$ L were used for this PCR analysis. Standard curve for real-time PCR analysis is shown at left. Standard curve generated by  $\pi$ Code end-point PCR assay data with sk- $\pi$ Code set-1 probe is shown in the middle and that with sk- $\pi$ Code set-2 probe is shown at the right.

and PlexBio-100 Analyzer generating MFI values. The data based on the set-1 probe is shown on the left figure of Figure 2a and the data based on the set-2 probe is shown on the right figure of Figure 2a. As shown, the values in MFI increased according to the concentration of PCR amplified DNA, suggesting that the  $\pi$ Code end-point PCR assay can do quantitative analysis of PCR amplified DNA.

In the next step, assessed whether or not the  $\pi$ Code end-point PCR assay was able to achieve quantitative detection of the known concentration of HIV-1 plasmids. Plasmid concentrations of 4,40,400,4000 HIV-1 copies/ $\mu$ L were used for this assessment. The results for real-time PCR are shown on the left figure of Figure 2b. Cp cycle numbers obtained from real-time PCR were inversely correlated to Log10 value of HIV-1 plasmid copy numbers, as expected. In the  $\pi$ Code end-point PCR assay, the standard curves were generated using MFI values obtained from the known concentrations of plasmid copy numbers. The values obtained with sk- $\pi$ Code set-1 probe are shown in the middle figure of Figure 2b, and the values for sk- $\pi$ Code set-2 probe are shown in the right figure of Figure 2b. The data strongly suggested that the  $\pi$ Code end-point PCR assay can quantify HIV-1 copy numbers of unknown test samples, like real-time PCR analysis.

**Comparison of real-time PCR and the  $\pi$ Code end-point PCR with diagnostic laboratory HIV-1 positive specimens**

The next step of comparison of real-time PCR and the

$\pi$ Code end-point PCR using clinical samples. As described above, the  $\pi$ Code end-point PCR assay quantified HIV-1 copy number for patient samples using MFI values. A standard curve generated with HIV-1 plasmid controls, with concentrations of 4,40,400,4000 HIV-1 copies/ $\mu$ L, was used for the estimation of HIV-1 copy number of the unknown samples. The data from 39 patient samples analyzed with the  $\pi$ Code end-point PCR using sk- $\pi$ Code set-1 probe and set-2 probe, and the real-time PCR using TaqMan probe, are compared in Table 1. The HIV-1 copy numbers obtained from  $\pi$ Code end-point PCR using the sk- $\pi$ Code set-1 probe and from real-time PCR showed similar values, except for ID No. 7,20, and 24, which contained very low levels of HIV-1 DNA and the real-time PCR was not able to detect.

Comparing HIV-1 copy numbers obtained from the  $\pi$ Code assay using sk- $\pi$ Code set-1 probe with sk- $\pi$ Code set-2 probe, both probes showed similar values in general. However, in five samples (ID No. 3,6,10,18, and 35), the HIV-1 DNA levels using the sk- $\pi$ Code set-2 probe showed less than one tenth of the HIV-1 DNA levels using the sk- $\pi$ Code set-1 probe.

**Correlation analysis to compare  $\pi$ Code end-point PCR and real-time PCR assays**

A correlation analysis was performed between  $\pi$ Code end-point PCR assay and real-time PCR assay using the data from Table 1. As expected, a strong correlation was confirmed between the  $\pi$ Code assay with sk- $\pi$ Code set-1 probe and real-time PCR ( $r=0.81$ , 95%CI: 0.6596-

ID	sk- $\pi$ Code set-1	sk- $\pi$ Code set-2	TaqMan Probe
1	271	962	71
2	1467	1704	2139
3	1064	17	3674
4	1608	1707	2436
5	1442	1352	2023
6	1446	185	4102
7	52	243	0

8	3833	2367	5467
9	239	263	279
10	733	38	524
11	4238	5662	16169
12	3151	3211	2277
13	1625	3001	711
14	1834	2946	1945
15	503	612	321
16	557	570	615
17	1706	1265	3198
18	148	3	111
19	1322	355	3867
20	102	15	0
21	875	2054	1578
22	567	1108	750
23	134	550	350
24	141	501	0
25	1042	3787	334

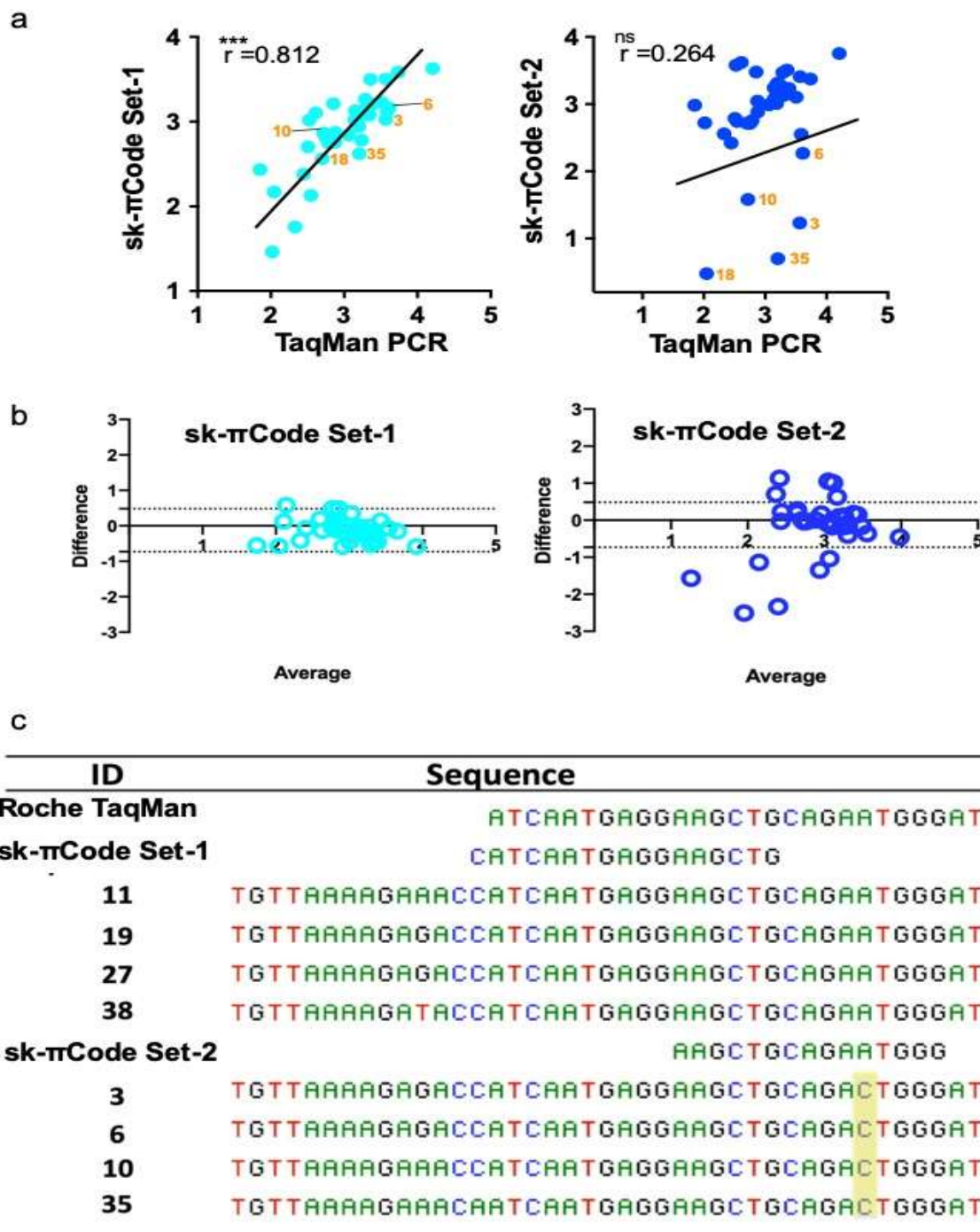


26	364	520	503
27	3178	2554	3721
28	1048	1747	1397
29	1265	4161	409
30	1043	1019	1541
31	644	515	565
32	29	526	104
33	1193	1477	2189
34	1345	1213	1417
35	416	5	1610
36	692	978	1152
37	746	766	755
38	601	1957	1723
39	57	361	214

**Table 1:** Comparison of real-time TaqMan probe PCR and the  $\pi$ Code end-point PCR with set-1 and set-2 probes (HIV copy number per  $1 \times 10^6$  cells).

0.9004,  $p < 0.0001$ ), shown in the left figure of Figure 3a. There was no correlation between the  $\pi$ Code assay with sk- $\pi$ Code set-2 probe and real-time PCR ( $r = 0.26$ , 95%CI: -0.0709-0.545,  $p$ : ns), right figure of Figure 3a. To do further comparisons, a Bland-Altman plot analysis was conducted (Figure 3b), showing, as predicted, a great agreement of the

$\pi$ Code PCR assay using  $\pi$ Code set-1 probe and the real-time PCR assay, with most of the plotted values within the two 95% limit lines (left figure of Figure 3b). The  $\pi$ Code set-2 probe assay and real-time PCR assay did not show good agreement (right figure of Figure 3b).



**Figure 3:** Correlation analysis of the  $\pi$ Code end-point PCR assay and real-time PCR assays and alignment of PCR amplified sequences. (a) Correlation analysis using sk- $\pi$ Code set-1 probe (left) and sk- $\pi$ Code set-2 probe (right). ID No.3,6,10,18,35, where HIV-1 DNA levels using set-2 probe showed less than one tenth of the HIV-1 DNA levels using set-1 probe, were identified. (b) Bland-Altman plot analysis. Analysis using sk- $\pi$ Code set-1 probe (left) and sk- $\pi$ Code set-2 probe (right). 95% limits of agreement lines are shown as dash lines. (c) The HIV-1 DNA sequence alignment from eight patients along with the sk- $\pi$ Code set-1 and set-2 probes sequences, and the original Roche TaqMan probe (Amplicor) sequence.

There are substantial differences between the  $\pi$ Code assay analysis data of sk- $\pi$ Code set-1 probe and set-2 probe, which might be related to each probe position target in the HIV-1 sequence. As shown in Figure 1a, LNA-TaqMan probe and sk- $\pi$ Code set-1 are designed to hybridize almost identical regions with only one base difference. The sk- $\pi$ Code set-2 probe targets a region 12 bases down stream of that of the sk- $\pi$ Code set-1 probe site. The five samples with different values between  $\pi$ Code set-1 probe and  $\pi$ Code set-2 probe, ID Nos. 3,6,10,18, and 35 are indicated in red color in Figure 3a. Similarly, the five samples HIV-1 DNA copy numbers detected with the sk- $\pi$ Code set-2 probe were poorly correlated with the copy numbers by real-time PCR (Figure 3a).

### Identification of HIV-1 subtypes

Next, a sequence analysis of the amplified HIV-1 DNAs obtained from patient ID No. 3,6,10, and 35 was performed. For comparison, the sequence of another four patients' samples (ID No. 11,19,27,38) with a similar HIV-1 copy number for both sk- $\pi$ Code set-1 probe and set-2 probe was also analyzed. The identification of the sequence for ID No. 18 was unsuccessful, which might likely be due to the variations in HIV-1 DNA integrated in this patient genome. The sequence alignment of the remaining four inconsistent patients, ID No. 3,6,10,

and 35, is shown in Figure 3c. There is a one base point mutation across all four patients' sequences, which was different from the sequences of ID No. 11,19,27,38. The HIV-1 sequence of the four inconsistent patients contained a "C" base (highlighted in yellow in Figure 3c) to the corresponding "A" base in the sk- $\pi$ Code set-2 probe sequence. The sk- $\pi$ Code set-2 probe sequence was identical to the four patients (ID No.11,19,27,38) HIV-1 sequences used for comparison, similar to the sk- $\pi$ Code set-1 probe. The sequence analysis obtained from the eight patients clearly explains the reduction of HIV-1 DNA copy numbers in the four inconsistent patients (ID No.3, 6, 10, 35). The one base mismatched sequence in the four patients' sequences may be the reason for the poor detection generated by the sk- $\pi$ Code set-2 probe.

### Comparison of detection sensitivity in real-time PCR and the $\pi$ Code end-point PCR

A further sensitivity comparison of both assays was conducted, following a typical procedure for checking PCR detection sensitivity [64]. HIV-1 DNA extractions from three clinical samples IDs #40, #41, #42, were three-fold serially diluted for this comparison. The  $\pi$ Code end-point PCR analysis with 35 PCR cycles using sk- $\pi$ Code set-1 probe is shown in Table 2. The  $\pi$ Code assay was able to detect up to 9-fold dilution for the three samples

ID	3 times dilution series	sk- $\pi$ Code set-1 (35 PCR cycles)	TaqMan probe (50 PCR cycles)
		HIV-1 DNA copy	HIV-1 DNA copy
No.40	1/1	3.7	9.3
	1/3	1.28	ND
	1/9	0.45	ND
	1/27	ND	ND
No.41	1/1	1.66	7.84
	1/3	1.34	ND
	1/9	0.57	ND
	1/27	ND	ND

No.42	1/1	3.96	9.54
	1/3	1.43	ND
	1/9	0.55	ND
	1/27	ND	ND

**Table 2:** Comparison of detection sensitivity in real-time PCR and  $\pi$ Code end-point PCR.

tested. Real-time PCR analysis with 50 PCR cycles is also shown in Table 2. The undiluted samples were detected by real-time PCR, but none of the diluted samples were detected. This comparison revealed that the  $\pi$ Code end-point PCR assay shows 9 times higher sensitivity than real-time PCR. After 50 PCR cycles with the  $\pi$ Code end-point PCR assay, HIV-1 DNA quantification using the standard curve was not possible due to the MFI signal generated from the samples reaching maximum detection level. However, the presence of HIV-1 in a qualitative analysis could be done. The data revealed that the  $\pi$ Code end-point PCR assay is 81 (34) times more sensitive than real-time PCR for sample #40, and 27 (33) times more sensitive than real-time PCR for ID#41 and ID#42 (Supplementary Table 2).

**Reproducibility of the  $\pi$ Code end-point PCR**

After finding exceptionally superior sensitivity in the  $\pi$ Code end-point PCR, the reproducibility of this assay

was evaluated (Table 3). Five clinical samples containing different amounts of HIV-1 DNA were tested. The HIV-1 DNA copy number in these samples was detected independently three times and mean and standard deviation (SD) was calculated from these values. CV value was determined for each sample, and as shown on Table 3, they are all under 20%.

**Detection specificity in real-time PCR assay and  $\pi$ Code end-point PCR assays**

Lastly, specificity of each assay was evaluated (Table 4). In general, highly sensitive assays may show less specificity in the developed assay. As expected, both real-time and  $\pi$ Code end-point assays did not show any non-specific detection in the HIV-2 sample or controls, since the primer set of sk145 and skcc1B is well known to not have any non-specific amplification in HIV-1 DNA and negative controls.

ID	sk-piCode set-1					
	HIV-1 copy number in $1 \times 10^6$ cells			M (Mean)	SD (Standard Deviation)	CV (Coefficient of Variation)
1	217	288	309	271	48.1	0.177
7	42	55	58	52	8.5	0.163
8	3378	4262	3860	3833	442.6	0.115
11	4790	4446	3478	4238	680.3	0.161
13	1456	1480	1939	1625	272.2	0.168

**Table 3:** Assessment of the reproducibility of the IntelliPlex  $\pi$ Code PCR assay, sk-piCode set-1 probe. Five HIV-1 samples (ID) with different DNA concentrations were independently tested three times.

ID	HIV infection	$\pi$ Code end-point PCR		
		Real Time	sk set-1	sk set-2
291	HIV-1	338	469	568
391	HIV-2	0	0	0
A	Negative Control	0	0	0
B	Negative Control	0	0	0
C	Negative Control	0	0	0
D	Negative Control	0	0	0
E	Negative Control	0	0	0

**Table 4:** Assay specificity analysis in real-time PCR and  $\pi$ Code end-point PCR.

## Discussion

The future of HIV therapy aims to eradicate the latent reservoir of HIV DNA-infected cells in the body that remains despite current fully suppressive ART. Studies of such therapeutic strategies will require assays that are much more sensitive than the currently available techniques. In this report, we compared a newly developed end-point PCR assay platform ( $\pi$ Code assay) with a real-time PCR assay platform for detection of PCR amplified HIV-1 DNA.

In our HIV diagnostic laboratory, we occasionally face difficulty with HIV-1 DNA detection, especially when we receive samples from HIV-1 positive expectant mothers, because those mothers are often under intensive ART. We sometimes face negative test results in HIV-1 DNA even with the current advanced diagnostic method, GeneXpert (Cepheid), providing qualitative analysis data. The situation is more challenging when we receive the newborn babies' samples from those positive mothers. When we have HIV-1 negative status in the babies' samples, we have less confidence of the negative status of these newborn babies. It could be false negative as we were unable to detect the presence of HIV-1 DNA in the mothers' peripheral blood samples [65,66].

In general, within 3-8 months after starting ART, particularly with regimes including integrase inhibitors, the plasma VL of most of the infected patients is less than the detection limit [19-21,23]. After reaching this status (suppressed levels of plasma VL), most patients plasma VL remain suppressed for long periods of time in most of the developed countries since most of the patients remain adherent to treatment. Therefore, plasma VL analysis is no longer useful to fully reflect optimal efficacy of ART

for patient management. Instead, HIV-1 DNA levels have shown to be a better marker for efficacy of ART [6,11,22-31], but we need a more sensitive assay platform than the current gold standard real-time PCR assay for quantitative HIV-1 DNA detection.

Currently, most clinical and research laboratories use real-time PCR detection systems for any type of DNA and RNA quantification. Real-time PCR is recognized as the gold standard method for quantification of any nucleic acid obtained from any type of specimen, since it is a rapid, reliable, and reproducible method, with more than 6-log quantification dynamic range. There are numerous studies describing the advantages of real-time PCR systems, based on solid theoretical background [67-70]. However, several reports indicate some caution in analyzing the results from quantitative real-time PCR and conventional end-point PCR [71]. The reports make special reference to the detection sensitivity associated with real-time PCR assay platform [36-40]. Before the development of real-time PCR, end-point PCR detection platform had shown to have quantitative capability based on non-radioisotope detection methods, where a biotin labeled probe to capture PCR product and a chemiluminescent substrate for amplification of detection signal were used [72-75]. These publications (reported more than 25 years ago) demonstrated similar sensitivities to current real-time PCR assay. The End-Point PCR system is now recognized as an assay format for "enzyme-linked immunosorbent assay (ELISA)-PCR". After refining and optimizing this system, the ELISA-PCR showed superior sensitivity to real-time PCR assay [36-40]. More recently, ddPCR method has been developed to achieve superior sensitivity with quantification capability. The ddPCR is also based on end-point PCR platform [9,28,42,43,76]. There is a drawback associated



with end-point PCR assay formats. The end-point PCR assay may have a limited dynamic range (not greater than 3-logs). However, HIV-1 DNA detection levels in patients under prolonged ART with totally suppressed plasma VL (HIV-1RNA<20 copies/mL), are consistently detected within the 3-log dynamic range, as reported by others [6,11,13,77]. Therefore, achieving a wide dynamic range is not essential for a HIV-1 DNA assay in most well-suppressed ART patients. Achieving HIV-1 DNA detection method with high sensitivity is the most essential and urgent issue for HIV clinical diagnostic field.

Although there are some concerns about the sk145 and skcc1b primer set having less detection capability in non-subtype-B HIV-1 [45,78-80,], this primer set was the first FDA approved HIV-1 molecular diagnostic kit (Roche Amplicor HIV-1 detection assay) and has been used in every HIV-1 diagnostic lab in the world. Our lab is based in Sydney (Australia) and the great majority of patients have a HIV-1 subtype-B infection. Moreover, currently no quantitative real-time PCR assay is available for diagnostic laboratories, we used our quantitative real-time PCR assay with TaqMan probe based on sk145-skcc1b primer, as a gold standard of real-time PCR assay [47-63,81]. Therefore, in this research, we compared both assay formats, “ $\pi$ Code end-point PCR assay” and “real-time PCR assay” using the sk145 and skcc1b primer set.

After analysis of 39 HIV-1 infected patients, whose plasma viral load was less than 20 copies/mL for more than 2 years, we identified a strong correlation between the  $\pi$ Code end-point PCR assay using sk- $\pi$ Code set-1 probe and the real-time PCR assay ( $r=0.81$ ), since the sequence of the set-1 probe is almost identical to the LNA-TaqMan probe in our real-time PCR assay. The difference in the sequence of both probes was just one base. Our data may also suggest a possible reason why the original Roche Amplicor HIV-1 detection assay showed some difficulty to detect non-subtype-B HIV-1, after evaluation of the data obtained from the sk- $\pi$ Code set-2. The sk- $\pi$ Code set-2 targets HIV-1 DNA 12 bases downstream from the sk- $\pi$ Code set-1. The original Roche TaqMan probe contains the same sequence of the sk- $\pi$ Code set-2 probe. Our sequence alignment analysis of four inconsistent samples, which showed >10-fold less HIV-1 DNA copy number using sk- $\pi$ Code set-2 probe compared with those values using the sk- $\pi$ Code set-1 probe, revealed that the amplified HIV-1 sequences contained one mismatched base at the same position across the four samples. This mismatched base might attribute the reduction in HIV-1 DNA copy numbers in the  $\pi$ Code end-point PCR assay. Furthermore, a subtype analysis for those patients identified only one sample

out of the four was a non-subtype-B HIV-1. The other three samples were subtype-B HIV-1. Therefore, the data suggests that the original Roche Amplicor HIV-1 detection assay might have some difficulty with even subtype-B HIV-1 sample. Roche Diagnostics used to provide an HIV-1 DNA confirmation test, which was used in almost all HIV Diagnostic and Research laboratories in the world for many years. However, later, there were reports which indicated that the primer set used in the test had issues to detect HIV-1 DNA in some clinical samples, especially from non-B subtype HIV-1 infections. The diagnostic kit was discontinued about 7 years ago. Our data suggests that one of the major reasons for the difficulty of the previous Roche kit to detect HIV-1 in certain patients could be related to the hybridization probe in the original kit. Our data also suggest that Roche long hybridization probe may have had some difficulty to detect even subtype-B HIV-1. This finding agrees with several previous reports identifying some difficulties to detect HIV-1 DNA in some subtype B samples using Roche Amplicor HIV-1 DNA PCR kit [45,46,78,79].

We also found that the TaqMan probe real-time PCR assay could not detect HIV-1 DNA in three samples, ID No. 7, 20, and 24. These three samples contained very low levels of HIV-1 DNA, while the  $\pi$ Code end-point PCR assay using the set-1 probe was able to detect the presence of HIV-1 DNA in peripheral whole blood samples of patients successfully treated with ART for more than two years, which suggests that the  $\pi$ Code end-point PCR assay has superior sensitivity than real-time PCR. Further analysis using three-fold serially diluted clinical samples ID No. 40, 41, 42 in the  $\pi$ Code end-point PCR assay with 50 cycles, which is equivalent to the real-time PCR analysis, showed at least >27-fold higher detection sensitivity than real-time PCR. Additionally, the  $\pi$ Code end-point PCR assay was very specific to HIV-1 DNA detection, without any non-specific detection of HIV-2 DNA. We used an HIV-2 infected patient sample for this specificity evaluation, since HIV-1 and HIV-2 are from the same Lentivirus genus within the Retroviridae family [82]. The  $\pi$ Code end-point PCR assay was able to generate reproducible data with less than 20% CV. For the development of a clinical-type test based on PCR detection platform, if CV values are within 20% it indicates that the developed assay is stable with very little variation between each run [70,83]. This strongly confirms that the  $\pi$ Code end-point assay was able to generate reproducible data and is very stable. The  $\pi$ Code assay platform is based on the IntelliPlex1000  $\pi$ Code Processor, an automated hybridizing and washing machine, and the PlexBio100 Analyzer for the fluorescent signal detection of the  $\pi$ Code MicroDiscs.

## Conclusions

We demonstrated that HIV-1 DNA detection with the novel “ $\pi$ Code end-point PCR assay” is 100% sensitive, while the real-time PCR showed 92.3% in a head-to-head comparison in samples from HIV-1 infected individuals under ART, with suppressed levels of plasma VL for more than two years. We identified a strong correlation between the  $\pi$ Code end-point PCR assay using sk- $\pi$ Code set-1 probe and the real-time PCR assay. TaqMan probe real-time PCR assay could not detect HIV-1 DNA in some samples with very low levels of HIV-1 DNA, while the  $\pi$ Code end-point PCR assay using the set-1 probe successfully detected the presence of HIV-1 DNA in all samples, confirming that the  $\pi$ Code end-point PCR assay has superior sensitivity than real-time PCR. Further analysis using three-fold serially diluted clinical samples in the  $\pi$ Code end-point PCR assay with 50 cycles, which is equivalent to the real-time PCR analysis, showed at least 27-fold higher detection sensitivity than real-time PCR. The  $\pi$ Code end-point PCR assay was very specific to HIV-1 DNA detection, without any non-specific detection of HIV-2 DNA, and generated reproducible data with less than 20% CV.

The  $\pi$ Code assay platform is based on the IntelliPlex1000  $\pi$ Code Processor, an automated hybridizing and washing machine, and the PlexBio100 Analyzer for the fluorescent signal detection of the  $\pi$ Code MicroDiscs. Despite the high detection sensitivity achieved with the sk145 and skcc1b primer set, further optimization can accomplish a much higher sensitive HIV-1 DNA assay using different sets of primers, considering the limitation of the sk145 and skcc1b primer set to detect non-B clade HIV-1 subtypes [45,78-80]. In future studies, we will use a different primer set that can potentially achieve a successful detection of all HIV-1 subtypes plus maximum sensitivity for HIV-1 DNA detection. The current data, nonetheless, revealed that the  $\pi$ Code end-point PCR assay platform has great potential for developing highly specific and sensitive assays in various clinical diagnostic fields. The  $\pi$ Code end-point PCR assay format is a promising prospect for a new standard assay platform where ultrasensitive detection is required.

## Author Contribution

Conceptualization, K.S., T.I., Y.L., and C.S.H.; Methodology, K.S., T.I., Y.L., A.L., Y.L., S.M., and C.S.H.; Validation, K.S., T.I., Y.L., and C.S.H.; Formal Analysis, K.S., Z.L.; Investigation, K.S., T.I., Y.L., and C.S.H.; Rescues, K.S., J.Y., L.P.M., M.S. P.C.; Writing-Original Draft Preparation, K.S., A.L., T.I., Y.L., and C.S.H.; Writing-Review & Editing, K.S., A.L., T.I., Y.L., J.Z., and C.S.H.

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## Conflicts of Interest

The authors declare no conflict of interest.

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