

# A Comprehensive Commentary of “Smart Design of High-Performance Surface Enhanced Raman Scattering Substrates”

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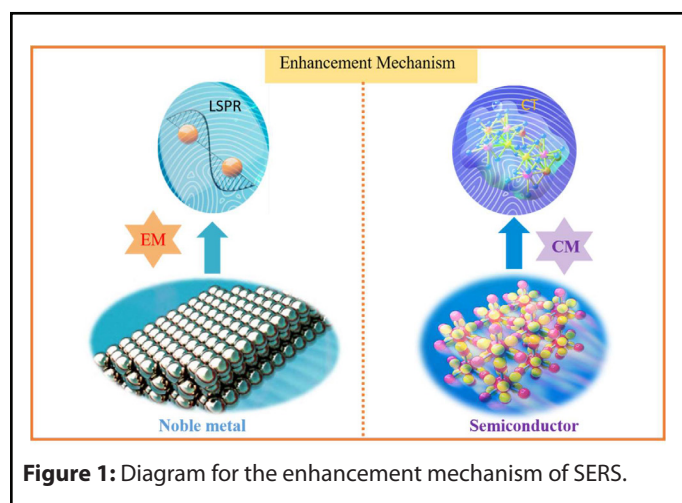
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## Commentary

Recently, our group published a review about surface enhanced Raman scattering (SERS) in SmartMat, which summarized the recent developments of noble metal and semiconductor SERS substrates, and then proposed the key factors for substrate design and future directions. The fundamental theories for SERS technique, i.e., the electromagnetic enhancement mechanism and chemical enhancement mechanism, and the design strategies for noble metal and semiconductor substrates are introduced detailed.

Raman spectrum is the fingerprint spectrum of molecular vibration, where different molecules present different vibration frequencies, so it is an important technique for substance identification [1,2]. The position, intensity, shape, and the half-height width of the Raman peak are all important information for identifying chemical bonds, functional groups, crystallinity and strain. However, the low intensity of Raman spectroscopy resulted in its sluggish developed for a long time after discovery, until the high-quality Raman spectrum of monolayer pyridine molecules adsorbed on the surface is obtained by Fleischmann et al. in 1974 [3]. Since then, Raman spectroscopy has developed rapidly and has been used in many fields, such as trace detection, biological sensing, situ analytical chemistry, and electrochemical. However, SERS substrate material still faces many shortcomings, such as the sensitivity and selectivity, which are two important parameters in practical applications. Considerable efforts have been made by researchers to design high-sensitive and selective SERS substrates ranging from noble metals to semiconductor [4–6], as shown in Figure 1.



**Figure 1:** Diagram for the enhancement mechanism of SERS.

SERS-active substrates mainly include noble metals and semiconductor substrate, depending on the electromagnetic (EM) field enhancement and chemical (CM) enhancement respectively, as shown in Figure 1. Localized surface plasmon resonance (LSPR) and hot spot are the main reasons for EM enhancement of noble metal substrates, which usually occurs at the sharp tips, corners and nanogaps of nanostructure. Therefore, nanostructures with abundant sharp tips or corners are designed to realize the excellent SERS activity [7], i.e., nanoflowers [8], nanostar [9], dendritic [10], nanotriangles [11], nanotriangles and hedgehog, where the sharp tips can concentrate charges at the sharp tips, edges or corners due to the lightning rod effect and lead to strong LSPR at local positions [12]. In addition, the “hot spot”, nanogaps between nanoparticles, can effectively amplify the electric field and

enhance the Raman signal. 1D hot spots in dimer, 2D hot spot in the ordered array, and 3D hot spot in a droplet of citrate-Ag sols or superlattice have been introduced in detail, and the influence of gap size, density and spatial distribution on SERS performance was also introduced. For gold nanorods, it is proved that end-to-end assembly will produce a strong SERS enhancement which have been used in biosensing and contaminant detection [13,14]. Uniform and large-scale hot spot ( $>1\text{cm}^2$ ) for quantitative SERS at the single-molecule scale is realized by assembling of 6 nm-diameter AgNP [15]. Self-assembled 3D noble metal nanostructure could improve the SERS activity by increasing a large number of hot spots, for which the basic principles and design rules of optimal spatial distribution are introduced in detail by García-Lojo [16,17]. In addition, precise controlling of nanogaps can effectively locate hot spots and enrich molecules, which can promote quantitative analysis. By optimizing the design of the substrate material, single molecule detection has been achieved by noble metals substrates, however, it is expensive, unstable and no selectivity, so it is difficult to achieve quantitative detection. Das et al. successfully fabricated Au nanorods with tunable morphology by the seed-mediated growth approach, in which the aspect ratio of the Au NRs can be tuned by controlling the amount of  $\text{Ag}^+$ , CTAB, AA, and seed particles. In addition, Au nanorods presented efficient SERS and SERRS performances under the excitation of 532 nm due to the synergistic effect of LSPR and molecular resonance [18].

The selectivity of semiconductor is better than the noble metal substrates, however, the intensity of SERS signal is lower which limit its further development. Therefore, how to boost the intensity of semiconductor substrates has been a research hotspot in recent years. This review introduced the latest development of chemical mechanism and the design of semiconductor substrates. Photo-induced charge transfer (PICT) mechanism is regarded as the main reason for CM. The quantitative description of charge transfer proposed by Lombardi et al. is very important for the theoretical study of enhancement mechanism [19,20], by which the coupling effect between LSPR and PICT can be researched clearly. For the design of semiconductor substrates, defect engineering and doping strategy are the common ways to enhance charge transfer between substrate and molecule by introducing new defect levels. The flat surface of two-dimensional semiconductor nanomaterials is helpful to determine the adsorption morphology of molecules on the substrate surface, which is convenient to study the interaction between substrate and adsorption molecules. We introduced the recent development of two-dimensional semiconductor substrates including graphene and h-BN, and the bifacial Raman enhancement is analyzed in detail. In addition, amorphous SERS substrate materials have been rapidly developed since our research group reported the porous amorphous ZnO naocages with excellent SERS performance [21]. Except for the amorphous ZnO naocages and  $\text{TiO}_2$  nanosheet, the

amorphous molybdenum oxide quantum dots ( $\text{H}_x\text{MoO}_3$ ) also present a strong SERS performance [22]. However, the enhancement mechanism of amorphous substrates needs further investigation.

Certainly, semiconductors and noble metal substrates have their own advantage and disadvantage to some extent. This review helps readers to further understand the enhancement mechanism and the current development status of SERS substrates, and then puts forward future research directions. Considering the respective advantages of noble metal and semiconductor substrates, the development of a new composite SERS-active substrate with large enhancement factor and selective recognition is of great significance to the future development of SERS technology.

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